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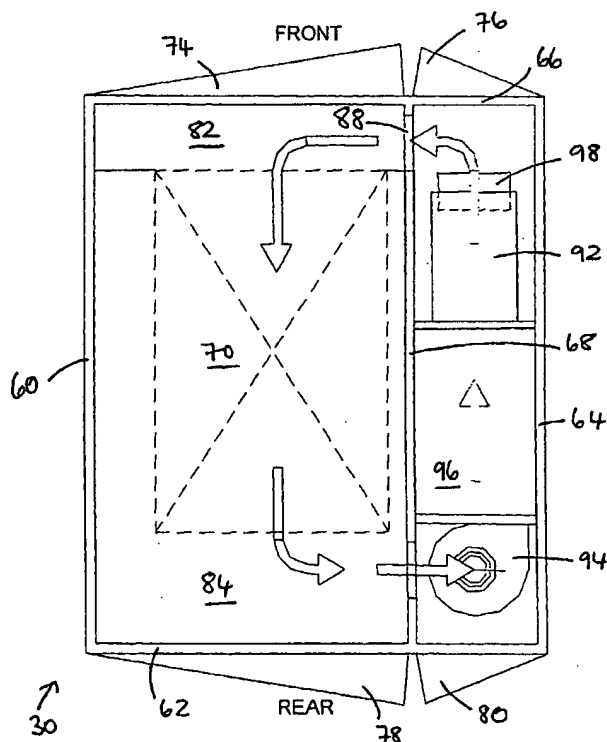
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(54) Title: **IMPROVEMENTS IN COOLING OF A DATA CENTRE**

(57) Abstract: A cabinet for housing a vertical array of heat-producing units has an equipment chamber adapted to support the array such that the array cooperates with the cabinet in use to define a first plenum, the first plenum having an inlet for receiving a flow of cooling fluid and an outlet defined by a plurality of openings through the array whereby the first plenum communicates with the openings in use to exhaust substantially all of the flow of cooling fluid through the openings and hence through the array, wherein the inlet to the first plenum admits fluid to the first plenum in a substantially horizontal direction. Related methods and data centre installations are also disclosed and claimed.

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IMPROVEMENTS IN COOLING OF A DATA CENTRE

This invention relates to the art of data centres, and in particular to data processing centres in which banks of servers or other equipment are housed in a protected environment. Specific aspects of the invention relate to housings or cabinets for electronic equipment for use in both controlled environments (e.g. computer/data rooms) and non-controlled environments (e.g. ordinary offices, factories, external sites etc.). Although currently intended for housing electronic equipment, the invention is not limited to this use and instead can be utilised with respect to any equipment requiring forced air cooling.

Data centres are important business facilities which aim to provide protected environments for housing electronic equipment, such as computer and telecommunications systems, for a wide range of applications. With ever-increasing numbers of individuals and businesses relying on the internet, hence giving rise to e-facilities such as application service providers, internet service providers, network operation centres, and co-location and web-hosting sites, data centres are becoming busier and more common. In particular, the growth of the internet has resulted in unprecedented levels of server-based computing. Providers have found that many of their network infrastructure and web applications work best on dedicated servers.

Whilst this invention will be described in the context of internet-related equipment, there is a more general need for protecting valuable and sensitive electronic equipment. References to data centres in this specification are therefore to be construed broadly to encompass installations that do not necessarily relate to the internet, such as those involving telecommunications, or any other equipment assembly requiring forced air cooling.

Computer and telecommunications systems are commonly gathered into data centres because their sensitive electronics require protection from hazards in the surrounding environment such as air-borne dust, spillages and fluctuations in temperature and humidity, as well as from the ever-present danger of power disturbances such as outages, surges and spikes. Flooding and fire monitoring/suppression systems are also required. It makes commercial sense that these protective facilities are shared between

users or tenants of data centres, although some data centres are devoted to a single corporate user.

5 The equipment housed within data centres can be very valuable, but the data stored by that equipment is potentially of much greater value, as is the cost of downtime should the equipment fail. Consequently, the operators of data centres assume a great responsibility for ensuring the protection and continuous fault-free running of the equipment that they house. Tenants can be expected to claim substantial damages if those objectives are not met.

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In recent years, items of electronic equipment such as servers have shrunk in size to the extent of being suitable for rack mounting. Now, therefore, servers in a data centre are usually housed in equipment racks or cabinets of a generally standard size and shape enabling servers and their supporting equipment to be housed in a modular, 15 interchangeable fashion. Racks or cabinets are typically supported on raised floors beneath which the complex cable networks for electrical interconnection for both power supply and system communication can be laid while allowing access for maintenance and re-routing.

20 An equipment rack is an open frame with a system of uprights having holes spaced at set modular centres, which are referred to as unit spacings or, for short, U's. 1U represents a vertical spacing of 1.75 inches (44.45 mm). The width between the uprights (a unit spacing width) usually conforms to a standard of either 19 inches (483 mm) or 27 inches (675 mm). Electronic equipment is typically manufactured in a 25 chassis form for rack mounting in accordance with these standard modules, although non-standard sizes are of course possible for specific applications.

An equipment cabinet is essentially a rack as described above but mounted inside a cabinet. The cabinet has access doors at front and rear to allow maintenance access to 30 the equipment within and provides a degree of physical security to the equipment. A typical so-called 'standard cabinet' would have an external width of either 600 mm or 800 mm, an external depth of either 800 mm or 900 mm, and an external height of at least 2100 mm. Such a cabinet would be capable of accommodating a stack of 42 to 45 1U units and so would be termed a 42U or 45U cabinet as appropriate.

Units mounted within a rack or cabinet need not necessarily be server units: for example, uninterruptible power supply (UPS) units are often installed to maintain and smooth power supply to other units.

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The access doors of a cabinet may be solid, glazed, perforated or a combination of these, and will usually be lockable by means of keys or digital keypads. More sophisticated locks relying upon scanners such as thumbprint or palm readers are also possible.

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Equipment racks or cabinets are typically arranged in rows within a so-called technical space in a data centre with an aisle space between them of approximately 1200 mm and sometimes as small as 900 mm. This aisle space, also known in the art as 'white space', affords access to technical personnel for the purposes of maintenance, monitoring, installation and so on.

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As mentioned above, some data centres may be shared by several tenants who house equipment there and so require access to the centre. Whilst this raises security concerns, these concerns may be partially overcome by restricted personnel access to the technical space. However, the more access takes place, the more difficult it becomes to maintain a closed environment in which temperature, humidity and ingress of dust or other contaminants can be controlled. For example, to achieve a clean environment IP (ingress protection) rating under British and European standards, a sealed filtered system is required which is difficult when the sealed system is an entire room. Also, a multi-tenant facility also increases the chances of accidental damage to equipment, such as impact damage or spillage of liquids.

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Smoke and fire detectors and fire fighting capabilities are important features of data centres. Early fire detection and efficient fire suppressant systems are vital for minimising equipment damage, data loss, system downtime and service interruption in the event of an actual or impending fire.

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To minimise equipment damage, many users and operators prefer inert gases to water sprinkler systems for dousing fires. However, in recent years, some operators have

omitted gas protection due to the high capital cost of the system and the high cost of recharging the system once discharged. Many gases are also environmentally unsound. In known environmentally conditioned data centre systems, the gas or water system normally discharges into the technical space as a whole and the entire room
5 must be closed off, and the equipment within shut down, while the particular server or other equipment unit at fault is detected and removed.

Equipment manufacturers and industry standards specify tight tolerances for environmental conditions to ensure optimal performance of the equipment. For
10 example, relatively small but sudden fluctuations from the recommended operating temperature (e.g. at a rate of temperature change of as little as 10°C per hour) can cause thermal shock and damage delicate circuits. High humidity can corrode switching circuitry causing malfunctions and equipment failures, whereas low humidity can promote static electricity that interferes with proper equipment
15 operation.

The environmental conditions of a data centre are largely determined by a combination of the equipment heat load in the room and the temperature and humidity loads resulting from infiltration of outside air. Other load factors include people
20 working within the technical space, who introduce heat and humidity, and lighting of the technical space, which introduces radiant and convective heat. However, the dominant challenge in environmental control of the technical space is the generation of heat by the electronic equipment housed within.

25 The heat generated by electronic equipment is related to the power consumed by that equipment. New designs of electronic equipment which are more compact than previous models tend to have higher power consumption and therefore a greater heat output. In particular, the desire for compactness has been driven by the commercial need to fit as many servers as possible into existing data centres. Smaller servers and a
30 denser population of servers are required to return as much revenue as possible per square metre of rackable area within the data centre.

To this end, servers have been designed that fit into 1U of space; these '1U servers' are also referred to as 'high density' servers. Unfortunately, as well as being heavy, such

servers have a high heat output of up to 1000 BTU's per hour (293 W) per server, the level of which depends on the server configuration in terms of number of processors, hard drives etc, and the software type and data being processed. So, whilst racks or cabinets can in theory be filled with such high density equipment, in reality, overheating may occur in that event. Servers present a considerably greater challenge in this respect than other equipment apt to be rack-mounted, such as UPS units.

Overheating has become a major issue since high density server deployment began. As recently as three years ago, typical electrical loads were between 300-400 W/m² of rackable space within the technical area but today 1200 W/m² is the average with some installations being as high as 2000 W/m². This increase in power consumption is reflected in heat output within the technical space, which in turn adds to the cooling load and hence to the overall power requirement of the data centre. Indeed, that overall power requirement has almost doubled over the three-year period. This presents another challenge in terms of inadequate power supply.

Those designing cooling systems for data environments are faced with not just the problem of ever greater cooling load requirements, but with predicting the size of the load to be allowed for at any given point of time. Moore's Law predicts the doubling of semiconductor performance every eighteen months. If Moore's Law continues to hold (and it is anticipated that it will, at least through 2005), there will continue to be a dramatic and continuing increase in product power densities, coupled with the design of smaller devices which is now being referred to as the problem of 'compaction'.

A problem associated with compaction is the increasing amount of cabling necessary to make the connections to the greater number of smaller devices housed in a rack. New category cables such as 'Cat 6' are larger in diameter than those of earlier generations, which both restricts airflow within the rack and adds to the total rack weight. The electronic equipment itself now tends to be provided with dual-corded power supplies, or triple-corded in some cases. The power supplies, often 'hot pluggable', add to the device weight and therefore to the overall rack weight. In fact, some racks have to be braced as they near their maximum slenderness ratio.

The risk of overheating means that cabinets are very often left unfilled: they are not fully populated with servers or other equipment, meaning that some available levels remain unused. This is to the detriment of efficient space utilisation within the data centre and, ultimately, increases the cost of housing each server because fewer servers
5 or fewer tenants must share the infrastructure costs of the data centre.

In existing data centres, temperature regulation is commonly achieved by close control room air conditioning units (CRAC units , also known as computer room air
10 conditioning or CRAC units) within the technical space. An example of such a conventionally cooled data centre is shown in Figure 1.

The conventional computer/data room cooling technique illustrated in Figure 1 is the typical approach to cooling electronic equipment currently employed. Within an
15 enclosed room 1 defined by a room floor 2, side walls 3 and a ceiling 4, a suspended raised floor system 2 is installed at a pre-determined height above a base floor 5. The suspended floor 2 and the base floor 5 together constitute a double floor structure defining a free space or floor void 6 which is used as an air passageway and often as a technical service zone for power and data cables. The raised floor structure 2
20 comprises a plurality of panels which permit access to the floor void 6 from above.

Sometimes a suspended ceiling 7 having a plurality of ceiling panels is provided below the base ceiling 4. The suspended ceiling 7 and the base ceiling 4 combine to form a double ceiling structure defining a free space or ceiling void 8 which is used as
25 a technical service zone for cables, light fittings etc.

Open racks 9 or rack cabinets, into which electronic devices may be fitted, are disposed on the raised floor 2 within the 'technical space' defined by the room 1. Power and data cables for the racks 9 may run through the floor void 6 of the raised
30 floor, the ceiling void 8 of the ceiling structure, the room space 1 over the floor or beneath the ceiling, or any combination of these. When cables are run at high level in the room space 1, the suspended ceiling 7 is often omitted. Often, so-called static cables should be located in the floor void, these cables being mainly heavy copper cable such as power supplies, and control data cables, all of which may be expected to

remain *in situ* for extended periods. Conversely, more fragile or frequently-moved cables should be located at high-level within the technical space or in the ceiling void where they can be concealed by removable panels and/or supported by suitable supports (not shown). These cables may include data cables such as fibre, twisted-pair and coaxial cables. Such cables are relatively vulnerable to damage, as may for example be caused by maintenance engineers walking on exposed cables when the floor panels are lifted. Positioning such cables at high level minimises the risk of damage and eases access to them for installation, maintenance and re-routing.

- 5 A rack 9 comprises a vertical framework of rails provided with suitable mounting holes to appropriate industry standards (e.g. EIA-310-D), to accept electronic equipment. The rack 9 is either open to the room space 1 or is mounted inside an enclosure to form a rack cabinet 11 which has various air inlets and air outlets allowing cooling airflow to reach the electronic equipment 12 and to carry heat away.

- 15 Electronic equipment most commonly ventilates front to back, that is, air flows through ventilation holes in the front of the equipment casing and exhausts through holes in the rear of the casing. Small fans, usually in the rear of the casing but in some cases in the front, assist this through airflow. The heat from the electronic components within the casing is dissipated by convection or individual fan assistance into this through airflow, thus effecting cooling of the equipment. There are some items of equipment that ventilate bottom to top, or side to side, but the principle remains the same.

- 25 The rack cabinet 11 will most often be provided with ventilation slots or perforations in the front and rear doors to provide for through airflow. Many rack cabinets will also have a ventilation inlet within the cabinet bottom plate 13 and another in the cabinet top plate 14 to avoid a concentrated heat build-up in the top of the cabinet due to the so-called 'stack effect'. Either of these two openings or in some cases both may be augmented by fans 15, preferably in multiple arrays to provide for redundancy.

The raised floor system 2 comprises a plurality of floor panels, some of which are solid and some of which are perforated or of a grille-type construction 2b permitting airflow through them from the floor void 6 to the room space 1. The suspended floor

panels are supported on pedestals 2a which are fixed to the base floor 5 by screws and adhesive on a regular modular grid. The airflow from the perforated panels or grilles 2b flows out into the room space 1 and is drawn through the fronts of the equipment cabinets 11 into, through and between the units of electronic equipment within.

- 5 Sometimes dampers will be fitted to the floor grilles 2b to allow adjustment to the airflow and manual balancing of the room loads.

At the perimeter of the room 1, a plurality of close control computer room air conditioning units 16 (CRAC units or CRAC units) receive air flowing
10 perpendicularly to the rows of rack cabinets 11. In large rooms, some of these CRAC units 16 may also be provided within the room space away from its periphery to overcome distance limitations upon the effectiveness of the units 16. Also, CRAC units 16 may sometimes be positioned outside a room and connected to it through appropriate openings in the perimeter wall of the room.

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Each CRAC unit 16 comprises a heat exchanger or coil 17 and a fan 18. Exhaust air from the rack cabinets 11, mixed with room air, is drawn into an inlet 20 of the CRAC unit, across the cooling coil 17 and through the fan 18 and an outlet 19 into the floor void 6. There are various types of CRAC units each of which rejects the room heat
20 absorbed in different ways, namely chilled water units, direct expansion water-cooled units, direct expansion air-cooled units, direct expansion glycol-cooled units and others. However all share the same principle, which is that the absorbed heat is conveyed to a suitable point outside the room where it is dissipated to atmosphere using conventional air conditioning technology. Thus, the unit is connected to a
25 central plant via a cooling circuit which may consist of distilled water or other refrigerant. The cooling circuit dissipates heat to the atmosphere outside the technical space via heat exchangers such as cooling towers or external radiators (dry coolers).

The CRAC units described and illustrated are referred to as 'downflow' units
30 reflecting the generally downward airflow within them in use, but 'upflow' units are also available. Upflow CRAC units are used, for example, where there is insufficient room height for a suspended raised floor or where the equipment servicing philosophy is such that services are run overhead, thus obviating a raised floor. Either way, the principle is similar except that in the case of an upflow CRAC unit, the air inlet is at

the front bottom of the unit and room air passes up through the unit before being expelled at the top where it moves out and down in front of the rack cabinets 11 before being drawn through the electronic equipment as in the previous scenario.

- 5 Ambient room air is typically at a temperature of $22^{\circ}\text{C} \pm 2^{\circ}\text{C}$ with relative humidity of $50\% \pm 5\%$. The CRAC units 16 supply chilled air to the floor void 6 at approximately 13°C which is drawn into the rack cabinets 11 by either convection from the airflow from the perforated panels/grilles 2b or by the effect of the cabinet fans. As the chilled air passes through and around the electronic equipment units and
- 10 is heated, it exhausts out into the room space 1 at approximately 35°C . The heated air then mixes with the conditioned ambient air of the room which is at a temperature of approximately 22°C , and the mixed air then returns to the CRAC units 16 at a temperature of approximately 24°C .
- 15 Other perforated tiles 2b are positioned throughout the room 1 to provide air supply to other heat-generating equipment and to maintain an appropriate ambient environment in the room 1. Some rooms are laid out on the principle of 'hot and cold aisles', in which rows of cabinets 11 are arranged so that their fronts face each other across a 'cold' aisle, from which cold air is drawn into the opposed cabinet fronts, and their
- 20 backs face each other across a 'hot' aisle, into which warm air is exhausted from the opposed cabinet backs. Perforated panels or grilles 2b are only placed in the cold aisles (other than those serving other pieces of equipment elsewhere in the room), thus seeking to ensure the maximum cooling effect by not mixing cold and hot airflows in the same aisles.
- 25
- The large floor-mounted CRAC units distributed around the perimeter and sometimes in the centre of the technical space take up floor space and room volume that could otherwise be devoted to racks or cabinets. This ultimately costs money by loss of potential revenue generation. However, the obvious alternative of air conditioning
- 30 vents positioned in the ceiling or in walls close to the ceiling, as commonly found in offices, is not suitable for a data centre. This is because as heated air rises from the server racks or cabinets, it mixes with the cooler air blowing down from the air conditioning vents leading to condensation and formation of water droplets which can

damage computer equipment. Hence, it is preferred that air conditioning vents are located below the server racks so that the natural airflow is not disrupted.

As can be seen in Figure 1, the cold air cooled by the condenser is forced out underneath the CRAC unit below a raised floor on which the CRAC unit is mounted.
The raised floor acts as a plenum under positive pressure. Some of the cold air is forced up through cable/ventilation holes in the base of the equipment cabinets mounted on the floor, while some rises through floor ventilation grilles around the cabinets fitted with control dampers. Thus, the total volume of the air in the technical space is conditioned.

As already mentioned, some of the conditioned air in the technical space is drawn through the equipment cabinet, for example via perforated doors, by small fans within the servers themselves. This air flows through and around the heat-generating electronic components within the servers and exhausts as hot air at the rear of the server into the cabinet. In some cases, however, solid doors are used at the rear of the cabinet and hot air is expelled at the top of the cabinet through an opening, sometimes assisted by additional fans to avoid a concentrated heat build-up in the top of the cabinet due to the 'stack effect'. The hot air then returns to the room where it mixes with the room air and is eventually recirculated through the CRAC unit from which its heat is ultimately rejected to the atmosphere via suitable heat transfer means as aforesaid.

A major disadvantage of a general environmental controlling device as described above is that all the air within the technical space is being continuously treated. Unsurprisingly, the energy demands associated with such an approach represent a significant cost factor. Also, the cooling of individual servers relies heavily upon their internal fans and there is no attempt to ensure that each server receives its necessary share of conditioned air. Instead, conditioned air is introduced into the cabinets by various imprecise means that can give rise to conflicting airflows.

Once in a cabinet, conditioned air is left to flow within the cabinet in a haphazard way that depends upon the disposition of equipment within the cabinet. So, for example, a server might receive inadequate cooling because adjacent servers nearer the air intake

take a disproportionate amount of conditioned air. Similarly, conditioned air might bypass a server by following a path of less resistance, for example through an adjacent empty equipment bay within the cabinet. There is also the problem that if a server fan should fail, that server will almost inevitably overheat.

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The floor void 6, when used for delivery of the cooling supply air, is often assumed to consist of an even mass of pressurised air delivered from a number of CRAC units, arranged around the perimeter and possibly the interior of the technical space. The reality is that the floor void contains a plurality of independent airflow plumes emanating from each CRAC unit, segregated by boundary layers. Each of these airflow plumes varies in size due to other factors which effects the amount of cooling which can be provided to the technical space.

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A key factor in airflow plume development is the static pressure within the floor void 6. Assuming initial design is correct, a lack of static pressure may arise from poorly-managed floor openings and/or from close-coupled rack cabinets with additional fans. Specifically, cut-outs for cable entry below cabinets and elsewhere within the room, together with excessive perforated floor panels or grilles, causes overcooling, loss of static pressure and wasted capacity. High-pressure areas of the floor are overcooled while low-pressure areas overheat because a loss of static pressure reduces the size of a plume and hence the volume of room space that plume is able to cool.

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To mitigate this problem, all hole cut-outs should be sealed around cables and the floor grilles should be adjusted to deliver an accurate amount of airflow to each cabinet. However, in practice, poorly-fitting floor panels or, more usually, floor panels that have been lifted and replaced badly can result in substantial leakage of cooled airflow from the floor void. If the floor void is used for containing cabling then engineers installing cables typically remove a complete row of floor panels and/or stringers rather than leave occasional panels (typically every fifth panel) in place to keep the floor 'locked-in', with the result that the panels shift across the whole floor in a process called 'fish-tailing', causing gaps to open up.

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By way of illustration, site investigations have verified cases where only 31% of the total cooling airflow was being distributed through 'engineered' openings, with the

remaining 69% circulating out of cable cuts, gaps around equipment and openings from rack cabinets. The cold air escaping in this way returns to the CRAC units without effectively transferring heat from the equipment. This cold 'return' or 'bypass' air disrupts the heat transfer that could have been available to overloaded air conditioners, in such cases reducing the effectiveness of the CRAC units to just 52% of their capacity.

The act of installing cabling within the floor void further restricts airflow through the floor void 6. This is a degenerative problem, as the rack cabinets 11 are populated over time and a potentially greater heat load created, while the additional associated cabling further restricts the airway supplying the cooling airflow through the floor void. The combination of new cabling technology, in which cables tend to be of larger diameter, together with electronic equipment 'compaction' results in more densely occupied equipment spaces, connected by increasing amounts of cable.

Whilst it is correct in principle to attempt to reduce the inlet air temperature entering the rack cabinets 11 by increasing the airflow rate through the perforated floor panels, this is an oversimplification of the problem. If the velocity is too high, then the airflow can overshoot a rack cabinet 11, tumbling into the hot aisle at the rear of the rack cabinet 11. This wastes supplied chilled air and, by mixing with the exhausted heated air from the rack cabinet 11, lowers the temperature of the exhausted air and therefore reduces the capacity of the installed air conditioners.

Moreover, unless carefully engineered, increased air velocity can create a 'wind tunnel' under a raised floor. The increased air velocity reduces potential static pressure, and may be so high that sufficient static pressure to deliver adequate volume of cooling air up through the floor may not develop for 9m to 12m beyond the point of fan discharge from the CRAC unit. This results in insufficient static pressure close to the CRAC unit to move the available cooling air up through the floor grilles. Worse still, in some cases, heated room air is actually sucked down into the floor void through the grilles, reducing the cooling capacity of the cooling airflow and creating 'hot spots'.

The objective of hot and cold aisles is to separate the source of cooling air from the hot air discharge which returns to the CRAC unit inlet. However, in practice, such physical separation is difficult to achieve in an open room environment particularly where high heat loads are concerned. Close-coupled rack cabinets each provided with extract fans create a 'chimney' effect to pull air from the raised floor up through the cabinet and the equipment therein. A problem is that, too often, these fans exhaust more air than the CRAC units can deliver, thereby overwhelming their cooling capacity. Also, excessive suction created by these rack cabinets causes heated air from the room to be pulled into the floor void and then up into the rack cabinets. There is just not enough cold air from the CRAC units to satisfy the overwhelming quantity of air exhausted by the rack cabinet fans. Some studies have revealed that bypass air problems typically limit CRAC units to less than 35% of their 'nameplate' rating. In 'hosted' environments, close-coupled rack cabinets have earned the title 'bad neighbour devices', in that they take more than their share of the available cooling airflow.

Orientation of the CRAC units in relation to the rows of rack cabinets is not significant at low loads with a clear floor void. However, as cooling loads or cabling and other sub-floor obstructions increase, their orientation becomes significant. Ideally, the CRAC units should be orientated such that their airflow is perpendicular to the rows of rack cabinets, as placing them parallel to the rows of rack cabinets will tend to create hot spots. Unfortunately, this ideal orientation of the CRAC units places an ultimate limit on total cooling capacity. For example, two out of four wall surfaces in a room may be available for locating the CRAC units, the longest of which are approximately 2.4m wide, with a capacity of approximately 100kW. Placing more CRAC units on the other two walls will almost certainly result in disrupted airflow/turbulence. More CRAC units can be added within the body of the technical space (which will in any case be required in wide data rooms) but this inhibits data rack layout flexibility.

Humidity needs to be maintained at a level that avoids static electricity problems. However, to provide stable humidity, it is not advisable to equip each CRAC unit with a humidifier. Slight drift in humidity sensor calibration may cause a CRAC unit to add humidity while an adjacent CRAC unit is simultaneously trying to dry out the air.

This fails to provide a stable environment and pours significant energy down the condensate drain, increasing risk, maintenance, repair and capital costs. Rather, good practice demands that a centralised system for humidification is used, which is usually the make-up air system for the room space. If the chilled water temperatures are too low, this shifts cooling coil performance toward dehumidification and lowers cooling capacity.

Those skilled in the art will appreciate that individual CRAC units cannot share load with their adjacent or opposing partners. This would be problematic enough if the cooling loads across the room space were even and steady, but in most situations the temperature gradient varies widely due to the variety and capacity of the items of heat-generating equipment as well as their operational state at any given moment in time.

Once cooled air has been delivered through the floor void and into the room space, that airflow has to enter the rack cabinet to cool the equipment housed therein. Conventional rack cabinets have perforated doors front and back to allow through airflow front to back. This through airflow is achieved by the combined action of: air being drawn through by small fans associated with the equipment itself; air being drawn through by fans associated with the cabinet (e.g. mounted at the top, bottom, middle etc.), if fitted; forced convection from the raised floor perforated panels or grilles; and/or forced convection directly into the bottom of the cabinet.

Perforated doors work satisfactorily at relatively low heat loads but, with high density loads, the doors themselves offer resistance to the necessary through flow. While cabinet fans can help to eliminate the hot spots that tend to occur at the top of the rack cabinet, care has to be taken in sizing these fans in relation to the through airflow. Tests have shown that the cabinet fans can set up a strong 'chimney effect' airflow pulling the air out of the top of the cabinet. This primary airflow entrains the room air at its boundary, increasing the mass of moving air while reducing its velocity. This tends to set up secondary circulation and reduce the through flow into the equipment itself.

Mention has already been made of the problem with air from the perforated floor panels or grilles being of such a velocity as to pass over the cabinet and into the hot aisle. The converse problem of lack of velocity can result in a cooled air supply stopping less than half way up the cabinet and therefore not reaching equipment at higher levels. This equipment will have to rely on any cooling effect provided by the room air which is drawn through by the equipment fans, which room air may itself already be heated and of limited cooling capacity.

Forced convection directly through the bottom of the cabinet may result in similar problems to those noted above. However, additionally, if the rack is heavily populated, then the incoming air strikes the base of the first server and may be deflected out of the cabinet through the perforated doors both front and back. This wasted cooled air then mixes with the room air. During tests using standard industry rack cabinets with forced ventilation through the cabinet base full of 1U high density servers, the Applicant found that the temperature gradient at the rear of the rack became inverted with the highest temperatures recorded within 150mm of the base of the cabinet. This was largely due to the incoming chilled airflow being forced directly out of the cabinet by the lowest servers and back into the room.

In general, conventional rack cabinets are rather 'leaky' not just externally but also internally: for example, many have gaps between the rack itself and the cabinet enclosure allowing cooled air to bypass the equipment within the cabinet and be wasted.

Currently, good practice dictates that due account is taken of individual cooling requirements when arranging the deployment in a rack cabinet, especially to avoid placing very hot devices below equipment with lighter heat loads. Even where this practice is followed (and the reality is that it is often totally ignored), rising heat will tend to result in an accumulative heat build-up progressively towards the top of the rack cabinet.

The majority of rack cabinets are never full of hot devices, up to 40% percent occupation density being typical. Sometimes relatively high loads are possible within standard cabinets – perhaps up to 5kW. However, upon examination, this is usually

due to the load being created by relatively few devices. For example 5kW of aggregate cooling load from two items of equipment with plenty of air space between them is very different from 5kW of aggregate cooling load from a full rack of hot equipment. Also, equipping the server itself affects the resistance to airflow. For example, a server fully equipped with network cards might offer 64 pascals of resistance whilst an otherwise identical but less equipped server might offer only 20 to 30 pascals of resistance. Further, the loads of adjacent equipment is important and directly impacts on cooling capacity.

10 If one considers that a raised floor is effectively a supply air duct and that the length of a typical data room is considered as the duct width (say 37m) and a room area of approximately 1000m^2 ($27\text{m} \times 37\text{m}$) is taken as an example, then taking the averaged loads across the room:

15 (a) for a floor void height of 600mm, a heat density of $2000\text{W}/\text{m}^2$ requires the duct to be 108.9% of the room length;

(b) increasing the floor void height to 800mm for the same heat density results in the duct being 81.9% of the room length; and

20 (c) if the floor void is cabled out, reducing its effective depth to only 300mm, then the duct is required to be 218.1% of the room length. Put another way, it is only possible to achieve a heat density of less than $1000\text{W}/\text{m}^2$ (108.9%).

25 Further if one considers the maximum floor space that can be occupied by active IT hardware (rack cabinets) in the above scenario (typically between 30 and 35 percent allowing for all white space such as service clearance, access aisles etc.) and a rack cabinet footprint of 0.54m^2 ($0.6\text{m} \times 0.9\text{m}$), then each cabinet can only provide between 3.6kW and 3kW of cooling capacity. This is a theoretical capacity with a completely free floor void: more realistically, with cabling in-situ, this figure will drop to between 1.8kW and 1.5kW per cabinet.

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Using the same scenario, consider the total available cooling load from correctly-oriented CRAC units. Assuming access and fire exit doors are allowed for (one per

side, 1.2m wide) then a maximum of 14 CRAC units of 100kW capacity can be located on each side. Assuming good practice requirements for a minimum availability of $n + 1$, then a total of 26 CRAC units are available for load of 2,600kW. This equates to 2.6kW/m² or 4.68kW to 4kW of cooling capacity per cabinet.

- 5 However, to be able to deliver this capacity, the size of the supply duct would need to be increased by raising the floor void height to 1500mm of clear space (i.e. above any cabling also within the floor void, which is totally impractical for most data centres. Even for new purpose-built facilities, this floor depth presents various technical challenges.

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Other factors affecting the movement of airflow within a given space are ceiling and wall topography, such as: surface characteristics; type of surface; downstand beams; surface obstructions; pipework; ductwork; services; wall abutment; placement of supply grilles relative to equipment racks; placement of equipment racks relative to each other; other equipment; and wall abutments.

15

Predicting the effects of these various parameters with any certainty to achieve an optimised room configuration is extremely difficult. Technology such as computational fluid dynamics (CFD) software can assist greatly but this approach is not, as yet, widely adopted within the industry. Also, to be effective, the computational model requires accurate modelling of all the characteristics of the room, the rack cabinets and the heat producing devices. Many manufacturers do not make available the information necessary to undertake this task.

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- 25 The result is that whilst some security disadvantages have been overcome by containment devices which contain electrical equipment and are positioned in an environmentally controlled room, many of these existing installations risk overheating the equipment due to unexpectedly dense levels of deployment and poor or inadequate air extraction and ventilation. Indeed, in some data centres, the doors that are supposed to be closed to provide security are instead left open to assist cooling.

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Enclosed cabinets with perforated ceilings onto which fan kits can be attached to aid air circulation through the units are also known, but they are ineffectual in high-density applications. These systems still rely on environmentally cooled room air and

the cooling effect of the fans is negligible. Whilst fans may help to achieve desirably uniform air flow within the cabinet, considerable care is needed in specifying fan size and capacity because an incorrectly specified fan can inhibit the general flow of air within the cabinet.

5

Various solutions have been proposed to address the problems of conventional data centre technology, which is now some thirty to forty years old. These break down into two main approaches: room-level solutions and rack-level solutions.

10 Room-level solutions begin with 'close coupled' cabinet systems. Essentially these are developments of the existing pressurised floor technology. The individual cabinets attempt to make better use of the supply air by controlling the amount of air entering each unit with a variety of different types of air inlets or dampers. These dampers are positioned in the base of the cabinet, and on the cabinet front, normally low down to
15 take advantage of the coolest level of room air. Some have pre-engineered openings for cables with sealing brush strips to mitigate the problem of unmanaged and unsealed cable cut-outs. Often, bottom air inlets are provided with small fans to assist airflow into the cabinet enclosure, and in some cases fans are also provided in the top of the cabinet enclosure for the same purpose.

20

Some variants have recognised the limitation on total cooled air supply from the floor void i.e. between 2kW/m^2 and 3kW/m^2 maximum. These variants attempt to use the room air in addition to that from the floor void to increase the total cooling capacity, which is achieved by manually adjustable opening vents in the cabinet front.

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There are several disadvantages with existing room-level solutions. Firstly, they do nothing to address the most fundamental problems with raised floor technology, which include:

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limited total cooling capacity with reasonable floor void heights;

increasing sub-floor obstructions reducing the airway over time;

wasted cooling air through poorly-managed cable cut-outs and leaking floors and cabinets;

lack of or too high a static pressure;

5

uneven loading on CRAC units with some under-utilisation and some overloaded;

problems of bypass air;

10

uneven temperature gradients across the room space; and

influence of adjacent items of equipment on each other.

15 Secondly, in attempting to boost the cooling capacity by using room air, these solutions assume that a background ambient temperature of around 22°C is available. For the reasons already given, this is often not the case as room air is heated by re-circulated exhaust air. Using fans to pull air through a cabinet requires a careful balance and sizing of fans to achieve the desired through flow with the racked

20 equipment. Strong vertical airflows can be set up which remove much of the cooled air before it reaches the equipment and has the effect of throttling-down the through flow desired and being attempted by the small racked equipment fans. The vents and dampers on these systems normally require manual adjustment which is carried out on a trial-and-error basis. As racks are equipped out over time, adjustments are often not

25 made to the damper settings until there is a problem. Perhaps more seriously, close-coupled systems can draw so much air from the floor void that they exceed the capacity of the CRAC units to supply it, and starve other containment systems of cooling in a prime example of the aforementioned 'bad neighbour devices'.

30 A different approach is used for 'spot coolers' which, in one good example, places a heat exchanger on the rear door of the cabinet, complete with a number of fans. The fans pull room air through the cabinet and the equipment racked therein, into the heat exchanger and then exhaust it back into the room at or near room temperature. The

airflow is therefore front-to-back through the racked equipment as required by the majority of equipment suppliers.

5 The heat exchanger coil is connected via a pipework system to a cooling distribution unit located outside the technical space which, by regulating the temperature and flow of the chilled water in relation to changes in room dew point, prevents problems with condensation. The cooling distribution unit is connected to the existing chilled water supply of the building. Between twelve and fifteen heat exchangers can be controlled from one cooling distribution unit, giving a cooling capacity of up to 8kW per cabinet.

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This system has been designed as a 'hot spot cooler' for retrofit situations. Unfortunately, the heat exchanger intrudes into the hot aisles by 150mm, thereby reducing the width of each hot aisle by 300mm if used in adjacent opposed rows.

15 Due to uneven temperature gradients and complicated airflow patterns already discussed, it is a distinct possibility in many data rooms that the room air drawn into the rack cabinet is above the designed ambient temperature, e.g. 22°C. In that case, the air being exhausted back into the room by the cabinet heat exchanger may also be above the designed ambient temperature. In the case of hot aisles where ambient air is at, say, 34°C (assuming other containment is exhausting into them – the situation one would expect with a retrofit hot spot solution), there will be some cooling of the air in the hot aisle by mixing of cooler air from the cabinet heat exchanger. This may lead to a phenomenon called 'static bypass' which lowers the cooling effect of the CRAC units, creating other hot spots.

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Whilst it is necessary to make connections into the existing building chilled water service, which would not be permitted in some data facilities, this system has the advantage of allowing progressive build-out. Provided that care is exercised in positioning relative to other equipment, it provides a good technical resolution over conventional technology with regard to equipment cooling, albeit limited given the heat loads now being encountered. However, the system is 'open loop' and so is still vulnerable to the problems arising from the complicated airflow patterns within a conventional system. Similarly, the equipment in the rack is exposed to the other

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problems already discussed with conventional open rack cabinet systems, such as dust, moisture, cold smoke damage, security and fire risk.

Moreover, the cooling distribution unit is linked to remote room-mounted temperature and humidity sensors. So, this is a 'centralised' control system rather than a rack-specific control system and by its 'general' nature, is subject to the limitations of such systems.

The pipeline supply system connecting the cooling distribution unit to the rack heat exchanger is single pipe with a mechanical coupling joining the pipe sections. The type of coupling used is a 'Victaulic style 606' (trade mark) which provides a very high quality joint. However, such a joint cannot be said to be truly leakproof, and combined with the use of solenoid valve assemblies in the pipe runs, as valves are a potential source of leaks, the pipe system cannot be said to be of high resilience, even if dual-piped which is not a standard option. If a leak is detected, an internal purge system pumps the coolant within the coolant distribution unit to a drain.

Another variant of the 'open loop' system is the 'zero floor space' model. One embodiment of this approach locates a heat exchanger at high level above the rack cabinets such that cooled air is washed down the fronts of the individual cabinets. This is a similar action to that of the pressurised floor solution, but in the reverse direction. The airflow passes through the racked equipment due to the action of the internal equipment fans and forced convection from the overhead heat exchanger fans. The exhausted heated air is then drawn back up into the overhead heat exchanger to be cooled and the cycle repeats.

The overhead heat exchanger is connected via a pipework system to a cooling distribution unit located outside the technical space and then to the building's chilled water supply. Once again, this system relies on central control using a remote room temperature/humidity sensor.

Each module of the system is 1.83m x 1.8m in plan area which covers three conventional rack cabinets and weighs 160kg when filled with coolant. The units therefore need to be attached to the structural soffit by threaded rod and appropriate

anchors, which means that this solution cannot be considered a retrofit option but confined to new build situations.

Each module is 0.55m high and requires between 0.6m and 0.9m of clear space
5 between the module's bottom face and the top of the rack cabinets. Any suspended ceiling fitted has to be located at the same level as the cooling module. The spacing between the modules in plan is varied to suit the room load. If the units were butted together edge-to-edge, this would give a notional 6.6kW per cabinet of cooling. However, this level would not be achieved in reality as the system is effectively
10 'open' and subject to all the same room restrictions as for a pressurised raised floor. With edge-to-edge abutment or substantially so, there is no room to install light fittings or overhead cable management (which is increasingly the preferred option among users, for sound technical reasons), either of which would disrupt the airflow pattern if fitted below the cooling modules.
15
Placing the units edge-to-edge on their shortest sides (to fit cabinet/ aisle widths) and, say, two cabinets apart on their longest side would provide a notional 4kW per cabinet. The real cooling load delivered to the rack cabinets is likely to be just above that provided by a pressurised raised floor. However there is the advantage that the
20 overhead situation does not have to deal with the floor void and room topography restrictions to airflow inherent in the raised floor design. The supply and return air path from rack cabinet to heat exchanger is relatively short, and floor space is saved for use by revenue-generating equipment. The raised floor can be used for static cabling and possibly dynamic cabling can be run overhead although even with
25 spaced-apart modules, cabling along the line of the rack cabinet is not possible at high level other than directly on top of the cabinets. Nevertheless the spaces between the cooling modules could be used for cable bridges between rows.

The next category of solution is the closed-loop chilled water group which are
30 sometimes described as 'air cooled' systems because only air is used within the rack cabinet itself. However these systems are, in reality, a development of the traditional pressurised raised floor technology, in that they rely on CRAC units to transfer the heat from air to water or refrigerant and then ultimately to the atmosphere via external chillers or water towers.

One particular example of this approach, as disclosed in International Patent Application No. WO 01/62060, seals the cabinet and directs the airflow via a front and rear plenum or manifold. Actually the cabinet construction is not truly sealed
5 because in practice there are visible gaps in the carcass construction, although there are sealing gaskets on the doors. The air movement is vertical through the front 'supply' plenum, then horizontal through the racked devices and then vertical again through the rear 'exhaust' plenum. A variety of fans are used, sometimes located at the top of the exhaust plenum and sometimes also at the bottom of the supply plenum.
10 This helps to control the airflow through the racked equipment.

The bottom of the supply plenum is connected into the raised floor, which effectively forms the supply duct. The top of the exhaust plenum is connected into the suspended ceiling void which effectively forms the return air duct. To achieve their function as
15 discrete ducts, the two voids are segregated by vertical barriers. This arrangement allows for a substantial improvement over the normal pressurised raised floor open room return air scenario. A limited number of rack cabinets are directly connected to individual CRAC units forming a closed loop system, thus making far more efficient use of the cooling air available from the CRAC unit. To further enhance the
20 performance of the system, the supply air is delivered at 13°C while the return air is expected to be 34°C to 35°C. This compares with a conventional pressurised floor open room scenario of supply air at 13°C and return air between 22°C and 24°C. Thus, it can be seen that the closed loop system has a Δt of 22°C as opposed to the conventional system Δt of 11°C. The principal advantage put forward for this system
25 is that by doubling Δt , it is possible to reduce the required airflow of cooled air to the rack cabinets. This in turn means the CRAC unit fan requires 50% less power to drive the airflow and thus substantial energy savings are possible. However, this figure assumes that system losses do not reduce this saving, which is unrealistic given that it is still proposed to use the raised floor for cabling, and other factors such as leakage
30 through the floor tiles. Similarly, a suspended ceiling is not a largely unobstructed duct normally used for ducted air supplies so, again, resistance to airflow and leakage within and from the ceiling is likely to impact on these figures.

Another problem with this approach is that, in a retrofit situation, it may not be physically possible to install a suspended ceiling due to the amount of overhead service obstructions already existing. Additionally, installing a suspended ceiling in a live data centre is not acceptable i.e. drilling into the structural soffit to fix the suspension hangers and so on.

This system still suffers from the problems of stack or chimney effect inherent to all vertical airflow systems, requiring very careful management of the deployment of heat-generating devices. While loads of up to 8kW of cooling are claimed for this solution, it is difficult to see how this can be achieved in practice, even assuming the greater fundamental efficiency due to the higher Δt . Test figures have apparently been based on equipment nameplate ratings or alternatively using heater bars. Real figures can be a third of nameplate ratings under real running conditions. Also, whilst the use of heater bars is the most common industry approach to testing, this takes no account of the variation of heat dissipation across electronic devices or their resistance to airflow – typically 20 pascals for a near-empty server or other device and up to 64 pascals for one full of network cards. Fully-ducted systems of this type utilising discrete ductwork and well-sealed cabinets can achieve cooling loads of up to 12.5kW. However there are limitations on the depth or length of cabinet rows due to air velocity factors – testing has indicated this to be at around 20 standard cabinets (600mm wide).

However, the most worrying aspect of this approach from a user's point of view relates to the lower airflow. Original Equipment Manufacturers (OEMs) design their equipment such that small fans sometimes combined with heat sinks move the heat away from the critical components and up into the through air-stream. Additional fans, sometimes as many as eight, pull air through the device to exhaust the heated air at its rear. An OEM's products can be damaged by too high an airflow; especially, it is possible to 'windmill' or cycle the small fans beyond their self-driven revolutions and shorten their life or indeed burn them out prematurely. Overcooling can also prejudice the correct operation of a device. On the other hand, too low an airflow can result in local overheating.

The heat levels across a device are not even, some regions being significantly hotter than others. Each manufacturer has varying inlet temperatures for their equipment. However, in most real data centre situations there is a mixture of products from different suppliers, or different models from the same supplier in any given rack.

- 5 Therefore, from a practical viewpoint, a compromise airflow has to be provided that covers the spread of inlet temperature requirements and variations experienced across the devices. For this reason, the major OEMs have expressed concern regarding any cooling method which intentionally reduces the airflow significantly. Their preference is to tend towards higher airflows as this is more likely to ensure safe operation, rather
10 than move to lower flows.

- As with some of the other systems discussed, the control function on these products is effected centrally. The cabinet enclosure is, as already noted, leaky especially if the enclosure is a single-skin construction, especially if not insulated. Consequently,
15 should it be possible to achieve real cooling loads above 5kW and perhaps up to 8kW with this equipment, then there will be an impact on adjacent equipment. In general, other neighbouring hot devices are likely to impact on the environment in a given cabinet. While this system might loosely be described as 'sealed' - there are door seals - the overall cabinet construction does not meet any recognised standard of 'sealing'
20 classification.

- The next group of products fall under the generic grouping of 'sealed closed loop air to water category self-contained'. Put simply, the heat exchanger is contained within the rack cabinet itself. The present invention falls within this category but at least one
25 other example is also currently within the market. This unit, the subject of US Patent No. 6506111 issued January 14, 2003, has a segregated supply and exhaust airflow system comprising two plenums - one at the front of the racked equipment and the other at the rear. This is in common with other units discussed previously.

- 30 The whole unit stands on a plinth which contains the heat exchanger coil and fans. Heated air from the rear of the racked equipment is drawn downwards through the plenum and into the plinth. The fans push the air through the heat exchanger coil and up into the plenum in front of the racked equipment, through the equipment and back into the rear plenum to begin the cycle over again.

To overcome the problem of stack or chimney effect inherent in any vertical airflow system, various distribution devices are incorporated into the front plenum. The first of these comprises a flat plate containing a plurality of pre-formed regular apertures.

5 These apertures increase in number from the bottom to the top of the plenum and thus allow the air to flow through them into the racked equipment. The pattern of the holes can be varied to suit the load with the intention of delivering 'approximately' equal airflow to all levels of the racked equipment. It is also possible to have louvres fitted to the apparatus to provide further airflow adjustment, presumably in a manual

10 operation.

Another distribution device option comprises a solid panel with a raised side along its two long edges and a curved roll-over top mounted on the back of the front door of the rack. The panel is tapered in its depth such that it reduces the cross sectional area

15 of the plenum progressively from its bottom to its top. This again is designed to provide even airflow through the racked equipment, in a manner similar to the progressively-reducing section found on any run of heating, ventilating and air-conditioning (HVAC) ductwork. Further it is proposed that the same device can be fitted in the rear exhaust plenum or in both plenums. How successful this system is in

20 providing even airflow across all racked equipment is not known, but it would seem that the current levels of cabling required might be obstructed by these devices in high-density applications.

Multiple fans are provided for redundancy although it appears that it is necessary to

25 withdraw the complete fan tray to replace a failed fan, with the consequence that airflow is interrupted while this takes place. With high-density applications, even a short period while a fan is swapped out could have serious implications for the racked equipment. Similarly the heat exchanger coil is described as either single, which is not resilient to the minimum requirement of most data centres for 'n + 1' unless hot

30 swappable, or multiple. The multiple option would provide resilience although if it is necessary to pull the two coils out together to swap out the defective one there does not seem to be any point in a multiple coil arrangement. Perhaps this is the reason that the production units are only equipped with a single coil.

The system of US 6506111 has the benefit of removing heat from the racked devices close to where it is generated, and of directing the airflow. This also allows relatively high heat loads to be dealt with – currently up to 10kW per cabinet is claimed. A raised data room floor is not necessary and the position of the heat exchanger means
5 that the minimum footprint dimensions are achieved, albeit with a loss in rack height (the current variant is 40U). The items containing coolant are located low down in the plinth minimising potential damage from leaks, although no means of leak containment seem to be provided. Although described as a sealed system, this refers to ‘close fitting’ panels’ (single skin non-insulated) and not a recognised seal standard
10 or rating – thus the cabinet can only be used in data room environments and is subject to dust, cold smoke, water etc. penetration. External chillers and interconnecting pipework are required.

The final group of known products are sealed closed loop air to refrigerant systems
15 which exhaust the extracted heat into the surrounding space. These systems are substantially autonomous, but most suitable for use in low (or at least not high) density environments where the hot exhaust air will not add to problems for other equipment. However, although some of these products are sealed to a recognised standard, they do not have any means for safeguarding the internal environment where
20 the external environment is not benign, such as conditions of high humidity, partial pressure problems and so on. Some models have the self-contained package unit mounted externally on top, or on the sides of the cabinet. Other variants have it located in the bottom of the rackable area. Cooling capacity tends to be limited with these products, ranging from 1.5kW up to 4kW. A condensate drain is required with
25 these products; excessive opening of doors or poor seals can cause continuous drainage of condensate. The room in which these products are located needs to have adequate air circulation to ensure the heat exhaust is rejected to avoid the cabinet overheating.

30 Examples of such cabinets are currently sold by Liebert Corporation under the name ‘Foundation’ and by Stulz GmbH under the name ‘CT Cooling Rack’. All trade marks are acknowledged.

Liebert's 'Foundation' is aimed at small offices rather than data centres. Essentially, it is an enclosed cabinet, which may be sealed, with locks on the outside of the cabinet to prevent tampering. An internal rack-mounted UPS is an option. Various cooling modules can be employed, for example an internal rack-mounted or external top-mounted 'environmental control module' that cools equipment within the cabinet by using ambient air to remove heat from the inside of the cabinet through an air-cooled condenser. This of course takes some of the space that could otherwise be devoted to servers, if their heat generation problems could be overcome. Warm air is exhausted near the bottom of the unit.

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Other cooling options are a fan that can be mounted inside the cabinet to promote air circulation in the cabinet, and a back-up cooling module which responds to excessive internal temperature by circulating filtered ambient air through the cabinet. Another cooling option is a ceiling-mounted fan for ventilating a confined space outside the cabinet, heated by warm air from the cabinet. Units within the rack cannot be upgraded without shutting down the entire unit.

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The Stulz 'CT Cooling Rack' is a cooling system for electronic enclosures that can be fitted onto existing cabinets, and is mainly directed to the PABX market in telecommunications. The cooling system is also available with a cabinet comprising three sides and a glass door, with the cooling unit situated on top of the cabinet. Air inside the cabinet is cooled by ambient air that is drawn through a heat exchanger in the cooling unit and is then circulated within the cabinet. Again, an internal rack-mounted UPS is an option.

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Neither of the Liebert or Stulz products is able to achieve the degree of cooling required by a fully-filled 42U-plus cabinet in a large data centre. Also, whilst their localised cooling provisions go some way toward reducing the contamination and inefficiency problems of whole-room cooling, they still suffer from inefficient and ill-defined airflow within the cabinet. For example, the top-down flow of cold air from top-mounted cooling units goes against the natural upward flow of warm air, and risks condensation problems as moisture in the rising warm air meets the cold downward flow. Moreover, there is still a risk that some servers will receive less cooling air than they should, and that failure of a server's internal fan will result in overheating.

25

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Returning to the power supply problem mentioned briefly above, redundant engineering is often built into data centres when they are first established so as to cater for future expansion. This inevitably results in waste of money and resources if the data centre does not quickly reach its expected capacity. Conversely, if the data centre quickly exceeds its expected capacity, there is a lengthy lead-time because additional power allocation after initial request from a data centre tends to be extremely slow. This is a limiting factor upon the natural growth of the data centre.

10 The result is that tenants usually request more power than they need initially, leading to a scalability problem because power infrastructure needs to be installed for the entire data centre from the outset day even if there are only a few tenants at that stage. It would be preferable if there was increased flexibility of adding power to a data centre at short notice so that tenants would only need to request extra power as and

15 when required.

Thus, the problem of correct sizing of appropriate technology is extremely important. If too much site infrastructure capacity is installed, then those making the investment recommendations will be criticised for the resulting low site-equipment utilisation and poor efficiency. However if too little capacity is installed, a company's IT strategy

20 may be constrained, or new services may have to be outsourced because there is no space with sufficient site infrastructure capacity to do the work internally.

Summarising all of the above, those skilled in the art know that thermal characteristics and airflow movement within a typical data room environment are extremely complicated and, to a large extent, full of uncertainty. As cooling loads increase, this problem becomes more critical. Conventional cooling solutions can cope up to, say, 2kW to 3kW per cabinet, provided that cabling and other requirements are modest. Above this level, it becomes necessary to either spread equipment out widely, which

30 may not be practical or cost effective, or to place restrictive limits on the number of hot devices that can be deployed within a rack. It will be recalled in this respect that a typical maximum deployment density is just 40% of rack space. Currently, such limits are often forced on users due to the action of thermal triggers within the electronic equipment.

It is against this background that the invention has been devised.

From one aspect, the invention resides in a cabinet for housing a vertical array of
5 heat-producing units, the cabinet having an equipment chamber adapted to support the
array such that the array cooperates with the cabinet in use to define a first plenum,
the first plenum having an inlet for receiving a flow of cooling fluid and an outlet
defined by a plurality of openings through the array whereby the first plenum
communicates with the openings in use to exhaust substantially all of the flow of
10 cooling fluid through the openings and hence through the array, wherein the inlet to
the first plenum admits fluid to the first plenum in a substantially horizontal direction.

By virtue of the invention, fluid flows across the first plenum in use as a horizontally-
moving curtain of fluid that is preferably substantially uniform from top to bottom
15 across the array. This helps to ensure even apportionment of cooling air between all of
the heat-producing units such as servers.

The inlet to the first plenum may be a substantially vertical slot beside the first
plenum, which preferably extends substantially the full vertical extent of the array or
20 of the plenum.

Advantageously, fluid passing through the array is recirculated for intake to the first
plenum. To that end, a second plenum may be provided for receiving the flow of fluid
once that flow has passed through the array, the second plenum having an inlet
25 defined by a second plurality of openings through the array, and an outlet.

The outlet from the second plenum may lead the fluid to a plant for cooling and
impelling the fluid. That plant preferably includes at least one heat exchanger and at
least one impeller. It is possible for the heat exchanger to be either upstream or
30 downstream of the impeller. The plant may further include one or more filters for
filtering the fluid before it returns to the first plenum.

The plant may include a single heat exchanger, which is relatively reliable and has
less need of redundancy, and a plurality of impellers, which are relatively unreliable

and have more need of redundancy. Each impeller may be associated with a non-return valve that closes in the event of failure of that impeller, preventing short-circuiting of airflow through the failed impeller.

- 5 For compactness while efficiently promoting the aforesaid curtain of air, the impellers are preferably disposed in a substantially vertical array.

For ease of maintenance, especially where there is no redundancy of equipment, it is preferred that at least the heat exchanger is a module replaceable in use of the cabinet.

- 10 For example, the heat exchanger may be mounted to the cabinet on runners supporting the heat exchanger when it is withdrawn from the cabinet, and may be coupled to coolant supply ducts by dry-break connectors.

- In preferred, compact arrangements, the plant is housed in a plant chamber beside the equipment chamber. Fluid can circulate in use between the plant chamber and the equipment chamber: for example, the flow of fluid through the equipment chamber may be substantially parallel to and opposed to the flow of fluid through the plant chamber. It is advantageous for the general flow of that fluid to be substantially horizontal throughout said circulation. Nevertheless, it is preferred that the general flow of fluid emanating from the plant chamber undergoes a substantially orthogonal direction change to enter the first plenum and that the general flow of fluid emanating from the second plenum undergoes a substantially orthogonal direction change to enter the plant chamber.
- 15
20

- 25 At least one door suitably affords access to the plant chamber independently of access to the equipment chamber. Thus, for example, respective doors affording access to the plant chamber and the equipment chamber may have independent locks capable of permitting access to one chamber but not both, so that only suitably authorised personnel are allowed access to each chamber.

30

In preferred embodiments, the or each plenum extends substantially vertically between an upright wall of the cabinet and an upright face of the array, and openings through the array are distributed across the face of the array. The openings through the array preferably extend substantially horizontally between first and second plenums

opposed about the array. Elegantly, the upright wall may be a door or removable panel affording access to the cabinet.

5 The cabinet of the invention is preferably adapted to house units in the form of servers. It may also house or be adapted to house power supply and/or fire suppressant units, and may further include heat transfer means for carrying heat away from the cabinet.

10 The invention extends to a method of cooling an array of heat-producing units housed in a cabinet, comprising directing a flow of cooling fluid into a plenum that communicates with openings in the array and confining the flow such that substantially all of the flow passes from the plenum through the openings, wherein the fluid enters the plenum substantially horizontally.

15 The method may comprise directing the flow across the plenum and/or apportioning the flow substantially equally among the openings. The flow into the openings may be transverse to the direction of flow through the plenum, although the direction of flow through the plenum and the flow through the openings is still preferably generally horizontal.

20 As before, fluid preferably flows across the first plenum as a horizontally-moving curtain of fluid such that the flow of fluid is substantially uniform from top to bottom across the array, and fluid advantageously recirculates in use within the cabinet with its general flow direction being substantially horizontal throughout said recirculation.

25 The invention extends to a data centre installation comprising at least one cabinet of the invention or operating according to the method of the invention. The installation may further include door interlock means preventing access to a cabinet if specified conditions are not met. One such condition is user authority to access the cabinet.

30 Another is environmental compatibility inside and outside the cabinet, to prevent condensation. Another is that an outer enclosure around the cabinet must be closed.

An outer enclosure around the cabinet preferably includes air conditioning means for controlling temperature and/or humidity around the cabinet. That enclosure may be

equipped with external panels spaced from walls of the enclosure to shade, insulate and cool the walls.

The cabinet of the invention to be described herein achieves energy savings in comparison with conventional equipment rack cabinets, where these are cooled using pressurised raised floor systems in conjunction with close control computer room air handling units (CRACs or CRAH). The cabinet system permits racks with very different heat/ cooling loads to be located adjacent to each other without one affecting the other.

The heat loads generated by electronic devices often vary due to the operational state of the device at any given time. The cabinet system of the invention reacts to this by delivering only the amount of cooling that is required at any given moment to the specific equipment in each rack. The system is capable of utilising all of the rack space for hot devices as opposed to conventional rack/cabinets which are typically limited to 40% of the rack space. The system is capable of dealing with high density loads of up to 15kW per rack IT cooling load and beyond.

To maintain a closed environment, the cabinet of the invention is preferably sealed to an Ingress Protection rating of 'IP55'. These digits represent resistance to water ingress from light jets of water played onto the cabinet, and resistance to physical ingress from airborne dust. Sealing the cabinet in this way prevents dust ingress which is often a problem in data centres due to ongoing building or maintenance work taking place after the data centre begins operation. Sealing also resists water ingress, especially in multi-tenant facilities where some tenants or technicians might carry out activities which result in water spills to those below. In particular, equipment cannot be damaged by water spraying or dripping through conventional perforated doors.

The cabinet of the invention contains all the requirements for a data centre environment (environmental/cooling control, fire protection/detection, power management and security) within the cabinet. In its standalone variant, it provides a data centre environment for use anywhere, internally or externally. Combined with its modular plug-and-play remote plant, the cabinet of the invention it provides a truly scaleable solution that can be scaled both upwards and downwards.

The invention therefore enables the provision of a physically and environmentally safe and secure environment for locating existing and future IT/electrical critical technology in high density deployment. High density deployment in this sense means
5 the ability fully to occupy the rackable area of the cabinet element 100% with hot devices for cooling loads between 3kW and up to 20kW per cabinet. The invention facilitates this deployment without the user having to be concerned about the relative positions of the electrical equipment within the rack space in regard to thermal performance. In particular, the stack or chimney effect is essentially eliminated due to
10 the horizontal airflow delivery to the equipment.

Due to the close proximity of the water-cooled heat exchanger to the heat source combined with the relatively wide 'air duct', remembering that conventionally-directed forced air systems flowing vertically have a relatively narrow duct, very little
15 heat is transferred by convection to the inner walls of the cabinet. Further, due to the preferred dual skin insulated core construction of the cabinet walls, any heat that is transferred is not conducted across the core to the outer skin. Similarly, heat gain or loss is not experienced from the room side of the cabinet to disrupt the precisely controlled environment.

20 The invention pays close attention to potential 'cold bridging' problems and the quality of sealing: the majority of standard industry containments leak badly, sometimes even those claiming to be sealed. The cabinet will satisfy the IP55 rating as a minimum (higher ingress protection ratings can be achieved if necessary) and can be
25 considered 'room neutral'. This is particularly important where a user wishes to locate high density deployment within an existing environment without causing problems to the existing equipment. This secure environment is provided in a form that permits its use in any location where it might reasonably be required, that is, not only within a data room environment but also in ordinary internal and external spaces such as
30 offices, factories, warehouses, marine applications and on open sites such as may be necessary for military or construction use.

The containment of the invention will safely house any EIA-310-D 19' rack mounted product with front to back ventilation including blade servers, from any vendor or

OEM. The system architecture is designed to provide a minimum of $n + 1$ redundancy for the service housed and high availability and fault tolerance. For example, components more likely to fail under risk analysis are designed to be 'hot pluggable'.

- 5 Automated control systems minimise the requirement for human intervention which depending on the research considered can account for up to 40% of downtime. The control systems are designed to provide proactive remote monitoring and control to minimise the opportunity for unforeseen failure.
- 10 The system architecture provides true scalability both upwards and downwards, allowing users to match current real needs with appropriate levels of secure provision rather than over-engineering on the basis of what will be needed or might be needed at some future date.
- 15 Attempting to achieve energy efficiency with a room-level system presents a number of difficulties. For example, the delivery path for cooled air for example in a pressurised floor solution is subject to numerous variables, such as under-floor obstructions, room surface topography and widely varying equipment loads across the room. A lengthy air path from plant to containment and return to plant, depending
- 20 upon the particular system used, risks inefficient use of the central plant e.g. chilled air bypass, loss of static pressure and too high a static pressure. By shortening the distance from the source of heat generation to the point at which heat is transferred via convection to a denser medium than air, and limiting the volume of the technical space to be cooled (down to cabinet level) it is possible to deal efficiently with very
- 25 high heat loads.

- The invention provides a pre-configured system where all the interface problems between the elements have been dealt with and incorporated into a single system prior to delivery to the end user. The provision of certainty rather than the uncertainty of
- 30 traditional systems allows users to meet their audit needs with ease under corporate governance requirements.

Reference has already been made to Figure 1 of the accompanying drawings, which is a schematic sectional side view of a conventional data centre having equipment

cabinets which are cooled by a close-control room air conditioning unit (CRAC unit) within the technical space.

In order that the invention may be more readily understood, reference will now be made, by way of example, to the remaining drawings in which:

Figure 2(a) is a sectional plan view of the cabinet of the invention in a non-controlled environment, thus equipped with a secondary enclosure;

Figure 2(b) is a sectional view of the cabinet and enclosure taken on line Y-Y of Figure 2(a);

Figure 2(c) is a sectional view of the cabinet and enclosure taken on line X-X of Figure 2(a);

Figures 3(a), 3(b) and 3(c) correspond to Figures 2(a), 2(b) and 2(c) but showing external environment panels outside the secondary enclosure;

Figure 4 is a schematic diagram showing the power and fluid connections of a system incorporating cabinets of the invention;

Figure 5(a) is a sectional plan view of a cabinet in accordance with the invention;

Figure 5(b) is a sectional side view of the cabinet of Figure 5(a);

Figure 5(c) is a sectional rear view of the cabinet of Figures 5(a) and 5(b);

Figure 5(d) corresponds to Figure 1 but shows the cabinet of Figures 5(a) to 5(c) housed within the technical space and replacing one of the cabinets of Figure 1;

Figure 6(a) is a sectional plan view of an alternative cabinet of the invention;

Figure 6(b) is a sectional side view of the cabinet of Figure 6(a); and

Figure 7 shows how a cartridge-type coil may be hot-swapped during maintenance or repair.

5

Referring firstly to Figures 2(a), 2(b) and 2(c), Figures 3(a), 3(b) and 3(c) and Figure 4, preferred embodiments of the invention comprise three main elements which together enable an autonomous cabinet system 28, in the sense of a data centre facility within a single equipment cabinet that does not rely on external service provision other than electrical power and coolant connections. If required, power and coolant facilities can be provided using plant skids which may, for example, include a generator set for the provision of electrical power. Suitably secure mains power connections are of course possible and, in most cases, preferred.

10

15

The first element of the system is the equipment cabinet 30 itself, which is sealed from its immediate environment. When used in non-controlled environments 32 as in Figures 2(a), 2(b) and 2(c), the cabinet is placed within a secondary outer enclosure 34 which insulates it from the environment and provides a zone where humidity can be controlled by a small package cooling unit 36. An equipment cooling unit (ECU) 36 within the cabinet 30 provides cooling/heating and humidity control and connects by a pipework system 40 to a plant skid 42 (shown in Figure 4). The door 44 of the outer enclosure 34 is interlocked with the cabinet door via the control system to prevent both being open at the same time.

20

25

Further, if in an external environment, extra panels 44 may be added outside and spaced from the secondary enclosure 34 as shown in Figures 3(a), 3(b) and 3(c). These provide for passive cooling by virtue of airflow through the gaps between the panels 44 and the enclosure 34 and also ensure that the walls of the outer enclosure 34 are in shade conditions. This minimises the cooling required for the outer enclosure space 32.

30

Specifically, the extra panels 44 reflect direct solar gain and by virtue of the gaps, they also provide a means of passive ventilation/cooling. In the event of solar gain experienced by the panels 44, air heated in the gap between the panels 44 and the

outer enclosure 34 creates a chimney/stack effect in which air enters below the bottom edge of the panel 44 and exits at the top edge. Thus, there is a continuous supply of cooling fresh air and exhaust of warm air before that warm air can transfer significant heat to the outer enclosure. Similarly a horizontal panel may provide a through-vented roof cavity. This ensures that the main insulated outer enclosure structure remains in shade conditions minimising the amount of cooling required to maintain a suitable ambient environment.

Referring now to Figure 4 in particular, the second element of the system 28 is the pipework system 40 connecting the cabinet ECU 38 to the remote chiller plant skid 42. This comprises a prefabricated, insulated pipe-in-pipe system to provide maximum protection against leakage. The system 42 can be connected at high or low level to the cabinet 30 via a flexible pipe-in-pipe hose 48. The flexible hose 48 is connected to a valve box 50 which contains flow and return isolating valves (for individual cabinet systems) or a prefabricated commissioning balancing set (for multiple cabinets). Rigid pipe-in-pipe 52 runs from the valve box 50 to the chiller plant skid 42 itself.

The third element of the system 28 is the remote chiller plant skid 42 comprising one of a range of modular skids sized to suit whatever permutations of cabinet numbers are required. Though not shown, each skid 42 is provided with two chillers (providing $n + 1$ redundancy), a buffer tank, a mixing manifold, variable speed pump sets, an actuator and a control panel.

This combination of elements allows for truly scalable deployment, firstly cabinet-by-cabinet, and secondly by modular remote plant. As cabinets are added in small increments over time, a point will be reached where the multiplicity of remote plant modules will not be sized correctly in relation to the total load to provide maximum efficiency in running and maintenance costs. In the invention, the plant skids 42 which are therefore designed on a 'plug and play' basis can be added into or withdrawn from the pipework system 40 without closing down the service. This allows plant skids 42 to be swapped out at any time in the future, and more appropriate size modules added to maintain maximum efficiency with regard to running and maintenance costs.

In contrast, one of the problems with current data centre technology is that the plant has to be sized for the ultimate total load, which means that the plant may be oversized for periods of sometimes years until the actual load approaches that level.

- 5 If, conversely, the plant is undersized for the eventual total load, then this causes problems in the future with disruption to live services requiring upgrading.

In terms of service connections, the cabinet 30 of the invention is carried by the raised floor of the data room or directly by the solid floor of the building as required.

- 10 Electrical power cables are connected to the cabinet 30 via panel mounted 'commando' plugs located both on the bottom and top of the cabinet to allow either connection from the raised floor void or the ceiling void or other overhead services if a suspended ceiling is not fitted. There are four electrical connections to the cabinet:

- 15 (i) 32 Amp A and B 'clean' secured supply to power the dual corded equipment rack Power Distribution Unit's (PDU's);

- (ii) 16 Amp C1 and C2 'dirty' secured supply to power the ECU 38. These supplies (clean and dirty) are separated to avoid any possible earth noise problems being transmitted from the ECU 38 to the equipment rack PDU's. The C1 and C2 supplies are run via the rack mounted FPU which contains a 16 Amp circuit breaker which is opened in the event of a fire alarm condition to shut down the cabinet fans. An emergency power off (EPO) link is also run from the FPU to the PDU link boxes to shut down the PDU's in the event of a fire condition. If a transfer switch or rackable UPS is fitted this is also connected to the FPU's EPO.
- 20
- 25

- (iii) Between the A and B electrical inlet plugs and the equipment PDU's two link boxes (A and B) are located in the bottom of the racked space which constantly monitor the RMS voltage, RMS current, and kWh of each PDU. Each of the individual socket outlets (IEC 10A as standard one socket outlet for each U position of rack space) is remotely switchable (no switches are fitted to the PDU strip to avoid personnel accidentally switching off the wrong service).
- 30

- (iv) The link boxes are provided with an LCD display of the instantaneous RMS current, RMS voltage, and cumulative kWh. A 32A Class C Double Pole MCB provides over current protection. A communication port (EIA RS485) is provided for individual socket switching, data input/ output and power and a data programming port (RJ45).

- There are two chilled water connections onto the cabinet made with 'dry break' connectors and flexible hoses (pipe-in-pipe) 48, either at low level or at high level.
- The flexible hoses connect to the sealed valve box 50, with the outer hose screwed onto the housing of the box while the inner hose passes through the box to connect to valves therein. The valve box 50 contains either isolation valves (single cabinet) or a balancing/ commissioning set (multiple cabinets). Thus those items which might possibly give rise to a leak, namely the valve connections/valve bodies, are contained within a leak-proof enclosure.

- A rigid pipe-in-pipe system 52 runs from the other side of the valve box 50 to the plant skid 42. The pipe system 40 is supplied in pre-fabricated format (3m/5m lengths) comprising an inner triple layered plastic/metal/plastic pipe to which insulation is bonded. The outer corrugated pipe facilitates pulling back the outer sleeve and insulation to make the pipe joint (either fusion welded or crimped). The joint is made and a vapour seal collar applied over the joint. Adjustable pre-assembled pipe supports allow fixing of the outer pipe run to the building fabric. Leak detection tape can be provided in the outer pipe linked to the cabinet controller. A buffer tank requires filling with water; but once filled does not require a permanent cold water feed.

- Where it is required (and if there is sufficient cooling capacity) to connect the cabinet system 28 into an existing facility chilled water supply, then it is necessary to provide a plant module to raise the chilled water supply temperature to 11.5°C before entering the cabinet chilled water main.

The cabinet system 28 can operate as completely stand alone, or have a data connection run from the cabinet controller to a local desktop PC, a local control room,

or via a LON Gateway or SNMP to communicate via an Intranet or Internet link for remote access. Similarly, the remote plant skid control panel can be linked to a local desktop PC, a local control room, or via a LON Gateway or SNMP to communicate via an Intranet or Internet link for remote access. The mains pipe-in-pipe leak
5 detection (if fitted, being optional) is connected to cabinet controller.

Referring now to Figures 5(a), 5(b), 5(c) and 5(d), the cabinet 30 of the invention is generally cuboidal and is constructed largely of rectangular steel panels which may be structural, although the cabinet 30 may also have an underlying structural frame to
10 which the panels are attached. The panels define parallel horizontal top and bottom walls 56, 58 and parallel vertical side walls 60, 62, 64, 68 extending between the top and bottom walls. A vertical partition 68 extends parallel to the side walls, also between the top and bottom walls.

15 The cabinet 30 comprises two main parts divided by the partition 68, namely a rackable equipment space 70 beside an equipment cooling unit or ECU 38. In other words, the partition 68 within the cabinet 30 defines a server chamber for racking beside a plant chamber for impelling and cooling air to pass through and between servers in the racking.

20

The partition 68 does not extend to the full depth of the side walls 60, 62, 64, 66 or the top or bottom walls 68, 70, therefore leaving gaps or slots at the front and rear of the partition. These gaps or slots provide for recirculating airflow between the server space and the plant space, via a front supply plenum extending over the front face of a
25 bank of servers supported by the racking and a rear exhaust plenum extending over the rear face of that bank of servers.

The invention therefore contemplates a cabinet carcass forming two internal areas; an equipment rack space 70 and an ECU space. Advantageously, the part of the carcass
30 defining the ECU space is removable from the part of the carcass defining the rack space 70 to allow for easier installation access in existing buildings with limited door opening width. However, this is not essential to the invention in its broad sense.

The carcass has a double-skin construction to reduce weight, provide structural integrity, reduce noise transmission, reduce thermal transmission and increase security. The carcass may be constructed from any of a variety of materials to best suit specific applications, or a combination of them, for example steel, aluminium or plastic skins with mineral wool filling, aluminium honeycomb, high density foam or synthetic honeycomb cores.

The cabinet 30 contains racking 72 defining bays capable of accommodating a corresponding number of 1U units such as servers. Of course, deeper units of 2U or more in thickness can be accommodated if the overall number of units in the cabinet 30 is decreased. The units are positioned close together in a layered stack-like configuration, although the units are supported from the sides of the cabinet and are not actually stacked in the sense of resting upon one another. This means that units can be removed and replaced without disturbing adjacent units above or below.

Some capacity in bays at the bottom of the cabinet 30 may be devoted to an electrical power management unit such as a UPS and a further capacity in bays at the top of the cabinet may be devoted to a gas fire suppressant unit. This leaves the remaining capacity for other units such as servers protected by the power management unit and the fire suppressant unit.

The fire suppressant unit may, for example, be a gas dump unit containing heptafluoropropane suppressant, as is commonly sold under the trade mark FM200 of Great Lakes Chemical Corporation and known generically as HFC-227ea. Gas dumping can be triggered by a smoke detector such as is sold under the trade mark VESDA of Vision Systems Group.

In conventional manner, each server within the cabinet 30 defines an airflow path between ventilation openings such as grilles in its front and rear faces, which openings may be referred to as front ventilation openings and rear ventilation openings respectively. There may of course be other openings in the top, bottom or sides of servers. Each server typically also includes an impeller to promote cooling airflow along that path around heat sources within the server.

It will be apparent that each cabinet 30 defines a sealed environment that, in emergency situations, has an important element of self-sufficiency in terms of cooling, fire protection and power supply. To that extent, each cabinet 30 is a mini data centre that is apt to be retro-fitted to an existing site, and that can be filled to its maximum capacity without overheating as will be discussed in more detail later.

Access to the interior of the cabinet 30 is via four doors, two on the front 74, 76 and two on the rear 78, 80. One door of each pair 76, 80 gives access to the ECU 38 and the other door of each pair 74, 78 gives access to the equipment rack. The doors are side-hinged and sealed around their periphery. They may be glazed although that is not technically significant.

The doors 74, 78 giving access to the equipment rack 70 are spaced from the front and rear of the server units so that in conjunction with the side panels 60, 66 and the partition 68, they create a front supply plenum 82 communicating with the front ventilation openings of the server units and a rear exhaust plenum 84 communicating with the rear ventilation openings of the server units.

The rear exhaust plenum 84 is closed to all sides but one, where it communicates with the plant chamber 38 through a gap or slot 86 at the rear of the partition, thereby to exhaust air that has been warmed by its passage through the server units. That air is cooled, filtered and impelled through the plant chamber 38 into the front supply plenum 82 via a gap or slot 80 at the front of the partition. Like the rear exhaust plenum 84, the front supply plenum 82 is closed on all other sides.

Substantially all of the incoming air must pass through the front plenum 82 and from there through the front ventilation openings of the servers. To ensure this where the cabinet is not full, blanking plates should be fixed across any bays not occupied by servers; otherwise, air would flow preferentially through the resulting gaps, around rather than through the servers.

Each door 74, 76, 78, 80 is lockable by electric (preferably magnetic) door locks under smart card control, to which end a smart card reader (not shown) is provided on the front and rear of the cabinet. Smart cards may be programmed to give access to

either the ECU doors, the equipment rack doors 74, 78 or all doors depending on the duties of the personnel issued with the card. Additionally, cards can be programmed to operate the access doors to the room where the cabinet 30 is located and all the other access doors *en route* to it.

5

At the base of the cabinet 30, a secure drawer unit 90 houses programmable control systems necessary to operate the system 28. However, this positioning is not essential: other variants or models may locate the control system elsewhere, for example within the ECU space or door mounted. Whatever the position, the principle is the same in that access to the controls must be possible without having to enter the rackable space 70.

Where different personnel maintain the ECU/controls and the rackable space, neither must have free access to the other's area of responsibility to avoid operational/ maintenance incidents which might result in downtime. Consequently, upon presentation of the smart card to the smart card reader, the programmable controller inside the cabinet 30 checks with a security record that the user is authorised to enter the cabinet.

Having confirmed this, the controller then uses sensors to check the external and internal environment, which should be similar although the cabinet environment is more precisely controlled. If there is a discrepancy between the external and internal environment that could result in a dew point problem when the doors are opened, then the electric door locks are not released. Otherwise, room air can deposit moisture either within the cabinet 30 to be carried by airflow onto the racked equipment or directly onto the racked equipment itself. So, in the event of such discrepancy, a warning is given by a light or buzzer to the person trying to gain access, alerting them that the cabinet environment must be adjusted first.

To harmonise the external and internal environments, the controller may use variable speed fans and chilled water valves to adjust the internal environment to eliminate the problem. Once this has been achieved, the warning indication ceases and the door locks release. Should the external and internal environments be too far apart to harmonise in this manner, then the door locks will not release. The user then has to

address the reason for the external data room environment having moved so far outside its specified limits. Should the reason for denying access be due to a fault with the controller, this can be verified via another alarm (general) condition. If this is the case, then it is possible to open the doors with a manual override key which should be held at a separate security point. Manual locks or latches may be provided in addition to the electric locks to ensure that door seals are maintained at all times when the doors are supposed to be closed.

Once the purpose of entry to the cabinet 30 has been completed, the user closes the door(s) and re-presents the smart card which locks the cabinet 30 and puts the ECU into 'soft start' mode. Soft start is used at initial commissioning to bring the internal environment back up to set point over a timed delay (normally 15 to 20 minutes) to avoid any dew point problems with the room air that has been introduced into the cabinet.

The ECU space contains cooling equipment comprising a chilled water coil 92 (heat exchanger) and a vertical array of fans. The relationship between the fans 94 and the coil 92 varies between variants. In the first variant shown in Figures 5(a) to 5(c), the fans 94 are positioned at the rear of the cabinet 30 and draw air from an exhaust plenum 84 at the rear of the rack space 70. The airflow is then pushed into a middle plenum 96 and then through the coil 92 and filters 98, downstream of the fans 94, to the front of the cabinet 30, where it flows into the supply plenum 82. The supply plenum 82 delivers the airflow to the front of the racked equipment, where it passes through the equipment ventilation holes, collecting heat from the electronic components and exhausting into the exhaust plenum 84 to start the cycle again.

It will be appreciated that the air flow circulates continuously in a horizontal pattern akin to the movement of a curtain. This movement pattern avoids problems with stack/chimney effect, as each device is directly fed with cooled air from the coil 92. This means that unlike all vertical airflow systems, it is no longer critical where the hottest devices are placed. The horizontal airflow also encounters less problems with cabling resistance, which is an increasing problem for containment due to the effects of compaction already noted.

- Moreover, the invention provides a much greater 'duct area' than is possible with a vertical system. Consider that the effective duct width for a conventional vertical system is set by the overall width of the cabinet enclosure (600mm) although normally, due to structural requirements, the actual width is inside the rack rails, namely 500mm or less. Ignoring systems which place the duct opening directly under the rack, the duct height depends upon the space available in front of the rack. This space can be as little as 30mm in some cases; whereas from tests carried out with a variety of airflow areas, the minimum duct height should be 75mm to 100mm.
- Even assuming a duct height of 100mm is provided across the full 600mm width of the cabinet enclosure, then the maximum effective duct area for conventional vertical airflow is just $0.1 \times 0.6 = 0.06\text{m}^2$. In comparison, the horizontal airflow of the invention enables full use of the cabinet height as the effective duct width. For example a 42U version of the cabinet has a duct extending for 1.9m in cabinet height. Thus, for the same duct height of 100mm, the effective duct area is $0.1 \times 1.9 = 0.19\text{m}^2$ or over three times that of the conventional vertical system.

The cabinet 30 of the invention also benefits from markedly lower resistance to airflow. The horizontal airflow system of the invention requires four changes of direction to complete a full cycle whereas vertical airflow employing a central plant requires ten, made through more restricted ducts. Thus, the horizontal airflow system makes it possible to provide greater airflow to deal with very high loads; with less system resistance to airflow.

- In the invention, the proximity of the cooling unit 38 to the equipment being cooled means that very little heat is transferred from the airflow to the inner walls of the cabinet. This, combined with the sealed environment, ensures that the cooling loads generated by the housed equipment do not influence other equipment close by. The more limited environmental area allows more precise automatic cooling to the level necessary at any given moment thus minimising power consumption, and removing reliance on human intervention that is required with many existing cabinet enclosures.

In a second variant of the invention as illustrated in Figures 6(a) and 6(b), the fans are positioned at the front of the cabinet 30b and pull air through the coil 92 which is

The equipment rack space magnetic locks will open when all of the following conditions are active:

5 there is a request from the control room system (if connected) and the cabinet card reader;

 the dew point temperature in the cabinet is higher than the dew point required to condense moisture from the air entering the unit when the doors are opened; and

10 there is no signal from the 'Gas gone' alarm of the fire protection (extinguishing) unit.

15 Moving on now to the Fire Detection and Protection System, the fire protection unit (FPU) has a lockable isolating switch, for use when work is being carried out in the cabinet. If the unit is locked off, the common fault will be activated. This common fault will not include the low gas pressure alarm, which is a separate input. When the air sampling smoke detection system gateway is included, the smoke input will come from a LON SNVT which will replace the hardwired connection.

20 In a manual condition, which assumes that a control room system is connected, the remote manual gas dump will be enabled if the following conditions are active:

25 the control room has authorised that this function is active via a network connection;

 the smoke input from the air sampling smoke detection system unit is active;

 the door magnetic locks are not released (ECU or equipment rack);

30 the pre-alarm input from the air sampling smoke detection system unit is active; and

 the fans are off.

In an automatic condition, which assumes a stand-alone configuration in which a control room system is not connected, the remote manual gas dump will be enabled if the following conditions are active. This is also a backup system to the remote manual gas dump, if the control room has not authorised the function within a given time, and the other inputs are still active:

- the first knock is active (hardwired input from the air sampling smoke detection system to the FPU);
- the pre-alarm input from the air sampling smoke detection system unit is active;
- the second knock (smoke input from the air sampling smoke detection system unit) is active;
- the door magnetic locks are not released (ECU and equipment rack sections);
- the fans are off; and
- a configurable time delay (0 to 120 secs) has elapsed.

Moving on now to alarms, a 'common plant alarm' will be enabled if any of the following conditions is active:

- there is a fire/ smoke alarm from the air sampling smoke detection system unit;
- there is a fault signal from the air sampling smoke detection unit;
- there is a pre-alarm from the air sampling smoke detection unit;
- the 'Gas gone' signal is active from the FPU;

there is a 'low gas' signal from the FPU;

there is a common fault signal from the FPU;

5 the filter is dirty;

the front door status does not match the commanded position (following a grace period of 5 minutes – only if the control room is connected);

10 the back door status does not match the commanded position (following a grace period of five minutes – only if the control room is connected);

water is detected within the unit;

15 vibration is detected within the unit;

the temperature 'set-point' is not being maintained (plus or minus 2°C) following 30 minutes after a power failure; or

20 the cabinet humidity is less than 45% RH or greater than 50% RH, following 30 minutes after a power failure.

The above output will latch until reset via a control room system (if connected) or from the portable display and adjust panel.

25

A 'fire alarm' (flashing lamp on the panel) will be enabled if there is a fire/ smoke alarm from the air sampling smoke detection unit. This output will also latch until reset via a control room system (if connected) or from the portable display and adjust panel.

30

A 'gas gone lamp' will be enabled if there is a 'gas gone' signal from the FPU (this is a hardwired signal) and this will indicate which unit the alarm relates to when several units are connected together.

It will be apparent to those skilled in the art that the invention has very numerous and considerable benefits over the prior art. It provides a safe and secure total environment for locating existing and new IT/ electrical critical technology in high density deployment. This environment is provided in a form that can be utilised in any
5 location where it might reasonably be required: it is not necessarily dependant on a conventional data room location. The environment is also provided in a form that permits full use (i.e. 100%) of the equipment space for hot devices if required.

The environment systems of the invention provide high availability and fault tolerance
10 both under operational and maintenance conditions. The environment is 'room neutral' i.e. the cabinet of the invention does not to contribute any additional cooling loads or receive any additional cooling loads from its surrounding space. It provides means for remote proactive monitoring and control of the environment systems to ensure maximum uptime. It removes as far as possible the need for personnel to
15 schedule the order of deployment (stacking) of equipment for good thermal management. It automates as far as possible the environment control systems to avoid the need for manual intervention and the resulting risks of downtime. It provides true scalability upwards and downwards across all environmental systems, while maintaining environmental conditions suitable for the correct operation of all
20 vendor/OEM products. The invention allows efficient energy consumption both for operational and maintenance requirements throughout the whole life of an installation and at any given stage of build-out.

The invention provides a seamless means of avoiding the 'fuzzy edge disease' of the
25 industry, in the words, the interface problems arising between traditional complex systems provided from a variety of specialist sources, especially high costs, increased timescales, lower availability, multiple points of failure and long mean times to repair. It provides certainty to users, removing as many of the traditional uncertainties and variables as possible and thereby simplifying the decision/design process when
30 configuring a facility.

In general, the invention may be embodied in many forms. When determining the scope of the invention, reference should therefore be made to the appended claims and to other conceptual statements herein, rather than the foregoing specific description.

CLAIMS

1. A cabinet for housing a vertical array of heat-producing units, the cabinet having an equipment chamber adapted to support the array such that the array cooperates with
5 the cabinet in use to define a first plenum, the first plenum having an inlet for receiving a flow of cooling fluid and an outlet defined by a plurality of openings through the array whereby the first plenum communicates with the openings in use to exhaust substantially all of the flow of cooling fluid through the openings and hence through the array, wherein the inlet to the first plenum admits fluid to the first plenum
10 in a substantially horizontal direction.
2. The cabinet of Claim 1, wherein fluid crosses the first plenum in use as a horizontally-moving curtain of fluid.
- 15 3. The cabinet of Claim 2, wherein the flow of fluid is substantially uniform from top to bottom across the array.
4. The cabinet of any preceding Claim, wherein the inlet to the first plenum is a substantially vertical slot beside the first plenum.
- 20 5. The cabinet of any preceding Claim, wherein the inlet to the first plenum extends substantially the full vertical extent of the array or of the plenum.
6. The cabinet of any preceding Claim, wherein fluid passing through the array is
25 recirculated for intake to the first plenum.
7. The cabinet of Claim 6, and having a second plenum for receiving the flow of fluid once that flow has passed through the array, the second plenum having an inlet defined by a second plurality of openings through the array, and an outlet.
30
8. The cabinet of Claim 7, wherein the outlet from the second plenum leads the fluid to a plant for cooling and impelling the fluid.

9. The cabinet of Claim 8, wherein the plant includes at least one heat exchanger and at least one impeller.
10. The cabinet of Claim 9, wherein the heat exchanger is upstream of the impeller.
- 5 11. The cabinet of Claim 9, wherein the heat exchanger is downstream of the impeller.
12. The cabinet of any of Claims 9 to 11, and having a single heat exchanger.
- 10 13. The cabinet of any of Claims 9 to 12, and having a plurality of impellers.
14. The cabinet of Claim 13, wherein the impellers are disposed in a substantially vertical array.
- 15 15. The cabinet of Claim 13 or Claim 14, wherein each impeller is associated with a non-return valve that closes in the event of failure of that impeller.
16. The cabinet of any of Claims 9 to 15, wherein at least the heat exchanger is a module replaceable in use of the cabinet.
- 20 17. The cabinet of Claim 16, wherein the heat exchanger is mounted to the cabinet on runners supporting the heat exchanger when it is withdrawn from the cabinet.
18. The cabinet of Claim 16 or Claim 17, wherein the heat exchanger is coupled to coolant supply ducts by dry-break connectors.
- 25 19. The cabinet of any of Claims 8 to 18, wherein the plant further includes one or more filters for filtering the fluid before it returns to the first plenum.
- 30 20. The cabinet of any of Claims 8 to 19, wherein the plant is housed in a plant chamber beside the equipment chamber.
21. The cabinet of Claim 20, wherein fluid circulates in use between the plant chamber and the equipment chamber.

22. The cabinet of Claim 20 or Claim 21, wherein the general flow of fluid is substantially horizontal throughout said circulation.
- 5 23. The cabinet of any of Claims 20 to 22, wherein the flow of fluid through the equipment chamber is substantially parallel to and opposed to the flow of fluid through the plant chamber.
24. The cabinet of any of Claims 20 to 23, wherein the general flow of fluid
10 emanating from the plant chamber undergoes a substantially orthogonal direction change to enter the first plenum.
25. The cabinet of any of Claims 20 to 24, wherein the general flow of fluid
15 emanating from the second plenum undergoes a substantially orthogonal direction change to enter the plant chamber.
26. The cabinet of any of Claims 20 to 25, wherein at least one door affords access to the plant chamber independently of access to the equipment chamber.
- 20 27. The cabinet of Claim 26, wherein respective doors affording access to the plant chamber and the equipment chamber have independent locks capable of permitting access to one chamber but not both.
28. The cabinet of any preceding Claim, wherein the or each plenum extends
25 substantially vertically between an upright wall of the cabinet and an upright face of the array, and wherein openings through the array are distributed across the face of the array.
29. The cabinet of Claim 28, wherein the upright wall is a door or removable panel
30 affording access to the cabinet.
30. The cabinet of Claim 28 or Claim 29, wherein the openings through the array extend substantially horizontally.

31. The cabinet of any of Claims 28 to 30, wherein first and second plenums are opposed about the array.
32. The cabinet of any preceding Claim, and being adapted to house units in the form
5 of servers.
33. The cabinet of Claim 32, also housing or being adapted to house power supply and/or fire suppressant units.
- 10 34. The cabinet of any preceding Claim, further including heat transfer means for carrying heat away from the cabinet.
35. A method of cooling an array of heat-producing units housed in a cabinet, comprising directing a flow of cooling fluid into a plenum that communicates with
15 openings in the array and confining the flow such that substantially all of the flow passes from the plenum through the openings, wherein the fluid enters the plenum substantially horizontally.
36. The method of Claim 35, comprising directing the flow across the plenum.
20
37. The method of Claim 35 or Claim 36, comprising apportioning the flow substantially equally among the openings.
38. The method of any of Claims 35 to 37, wherein the flow into the openings is
25 transverse to the direction of flow through the plenum.
39. The method of Claim 38, wherein the direction of flow through the plenum and the flow through the openings is generally horizontal.
- 30 40. The method of any of Claims 35 to 39, wherein fluid flows across the first plenum as a horizontally-moving curtain of fluid.
41. The method of any of Claims 35 to 40, wherein the flow of fluid is substantially uniform from top to bottom across the array.

42. The method of any of Claims 35 to 41, wherein fluid recirculates in use within the cabinet.
- 5 43. The method of any of Claims 35 to 42, wherein the general flow of fluid is substantially horizontal throughout said recirculation.
44. A data centre installation comprising at least one cabinet as defined in any of Claims 1 to 34 or operating according to the method of any of Claims 35 to 43.
- 10 45. The installation of Claim 44, further including door interlock means preventing access to a cabinet if specified conditions are not met.
46. The installation of Claim 45, wherein a specified condition is user authority to
15 access the cabinet.
47. The installation of Claim 45, wherein a specified condition is environmental compatibility inside and outside the cabinet.
- 20 48. The installation of Claim 45, wherein a specified condition is that an outer enclosure around the cabinet must be closed.
49. The installation of any of Claims 44 to 48, wherein an outer enclosure around the cabinet includes air conditioning means for controlling temperature and/or humidity
25 around the cabinet.
50. The installation of any of Claims 44 to 49, wherein the outer enclosure includes external panels spaced from walls of the enclosure.
- 30 51. A cabinet, a method of cooling an array of heat-producing units housed in a cabinet, or a data centre installation, substantially as hereinbefore described with reference to or as illustrated in any of the accompanying drawings apart from Figure 1.

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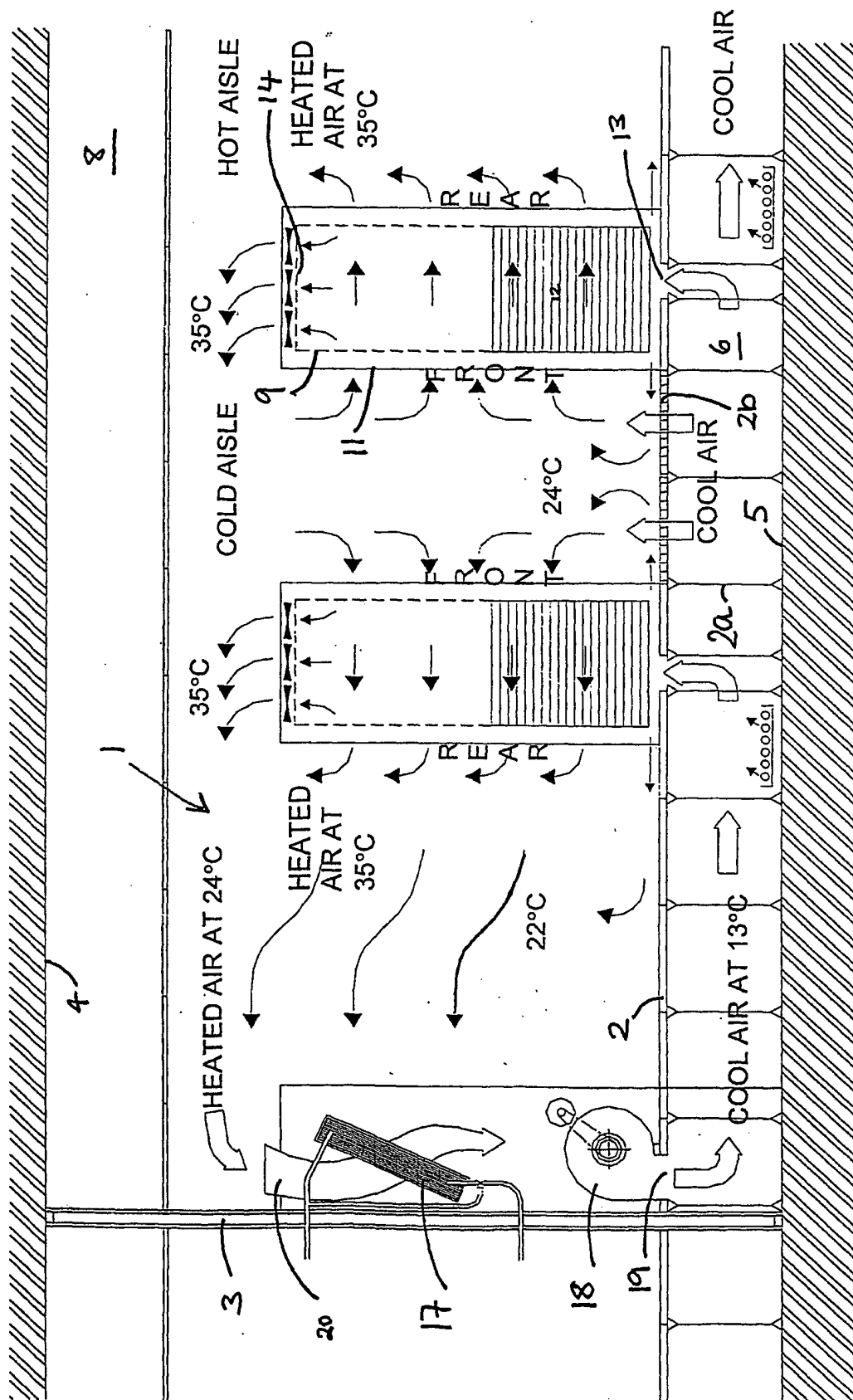


Fig. 1

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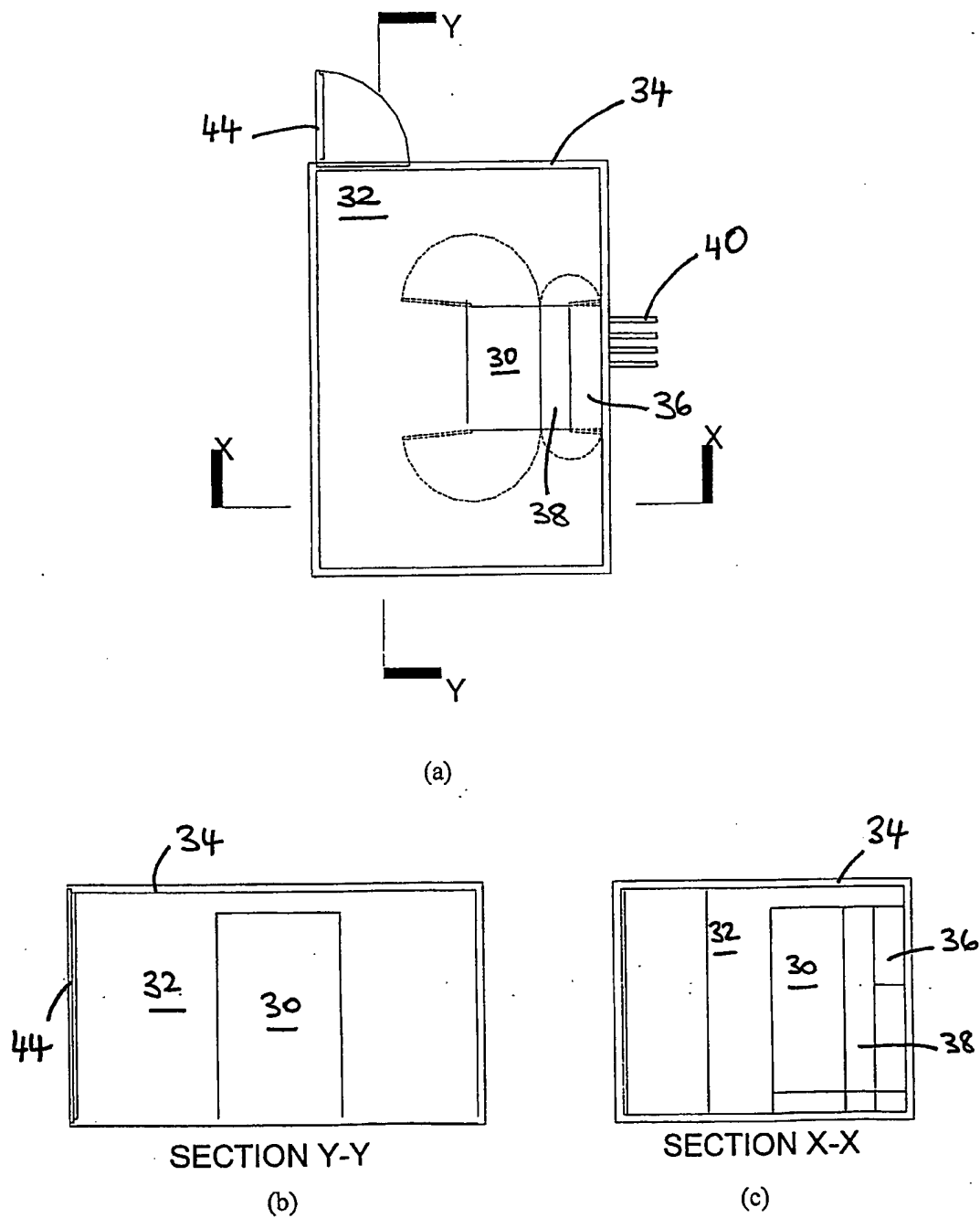


Fig. 2

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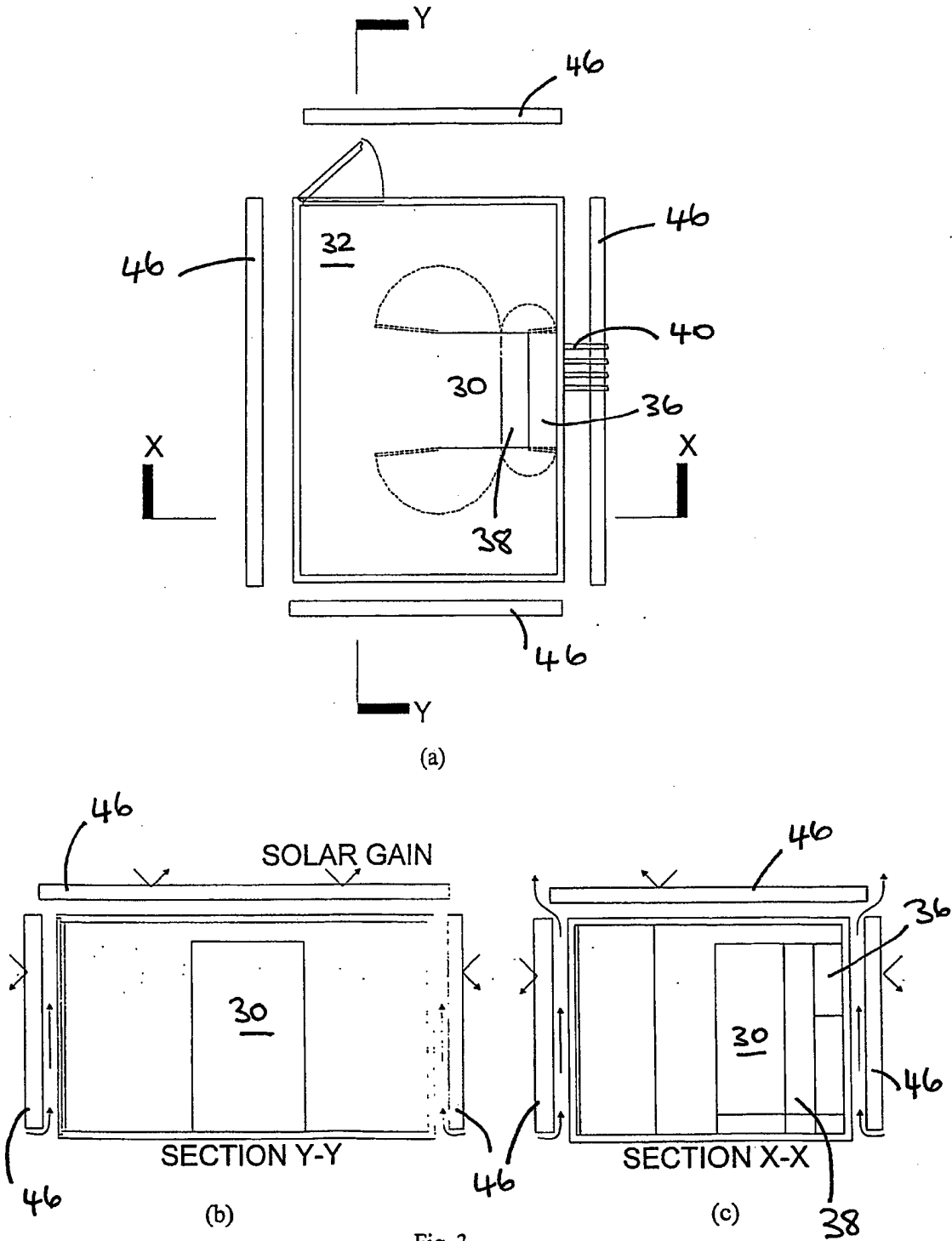


Fig. 3

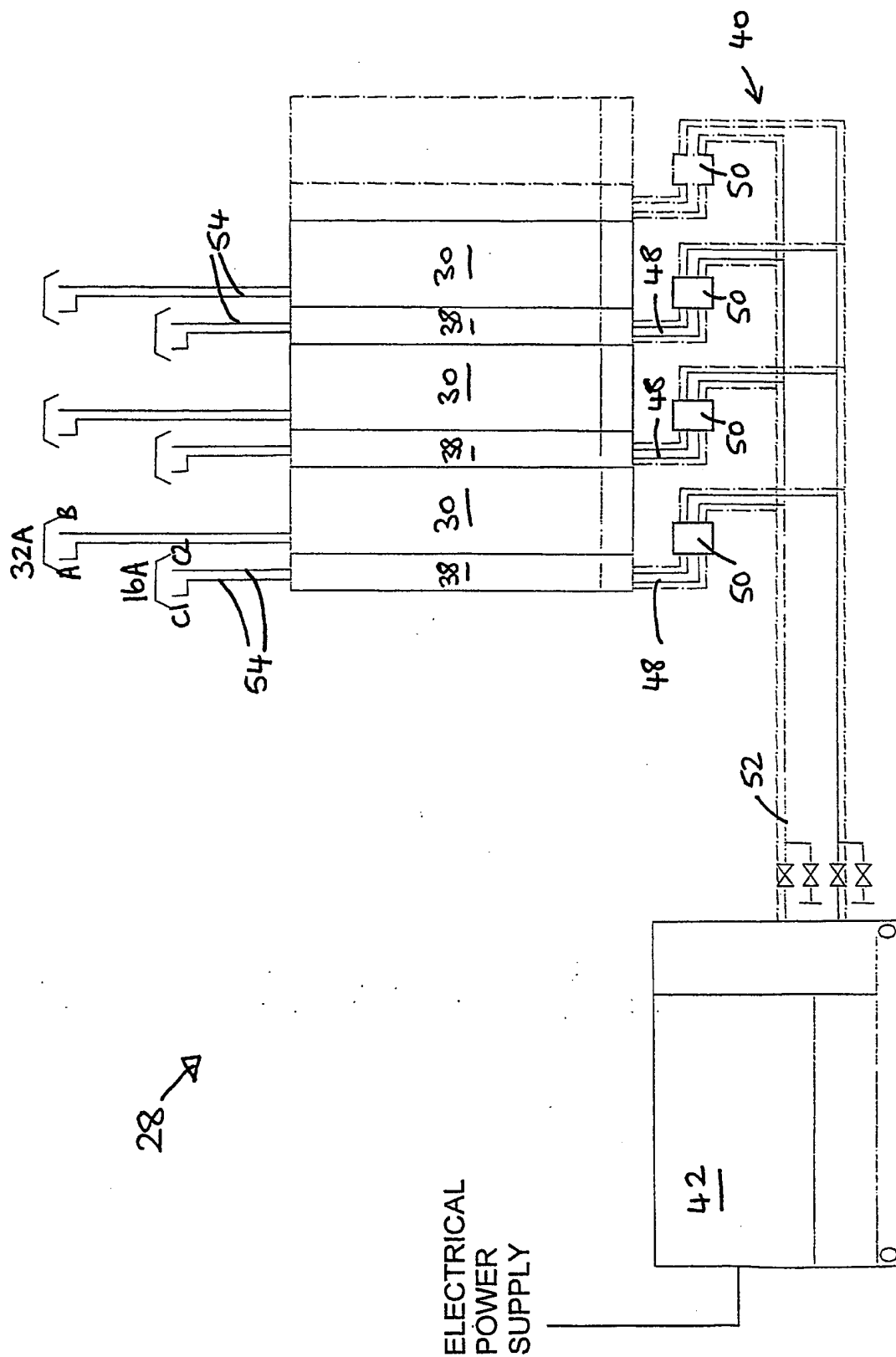


Fig. 4

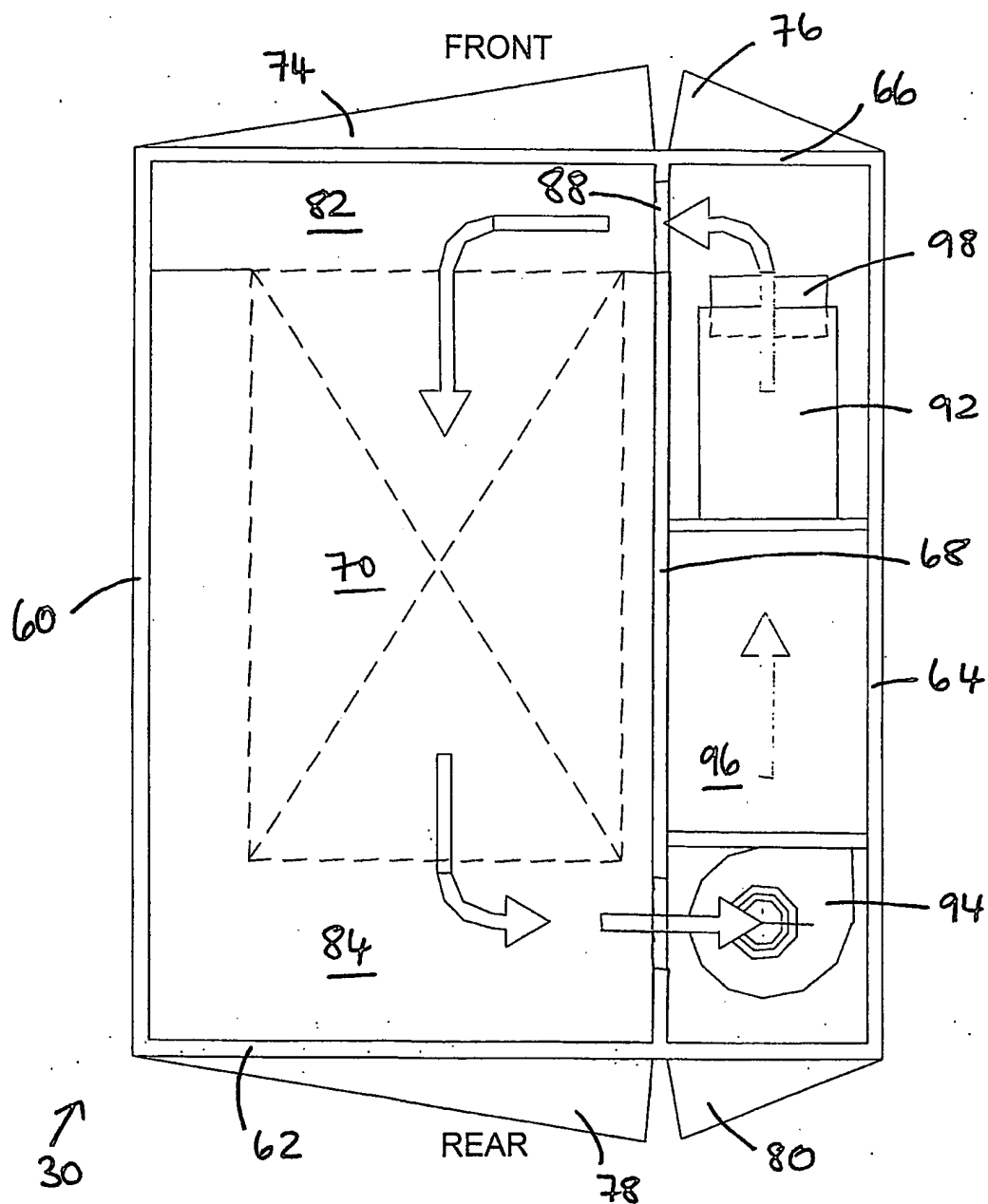
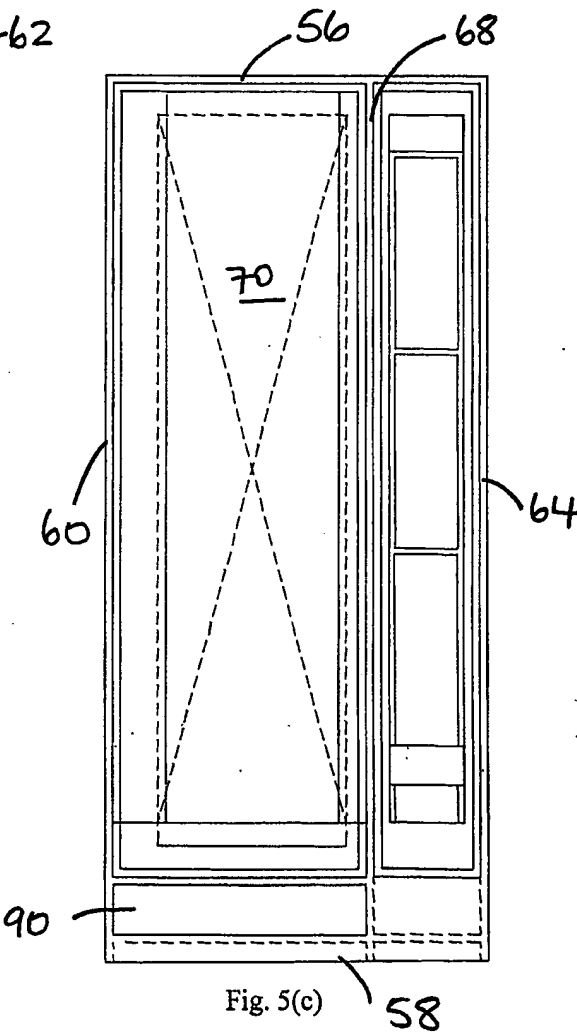
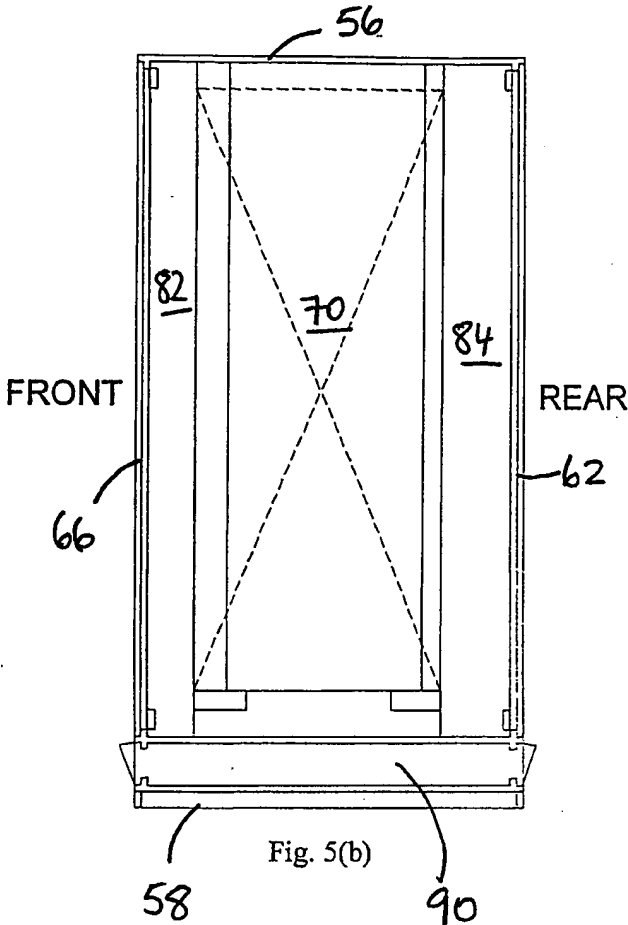


Fig. 5(a)



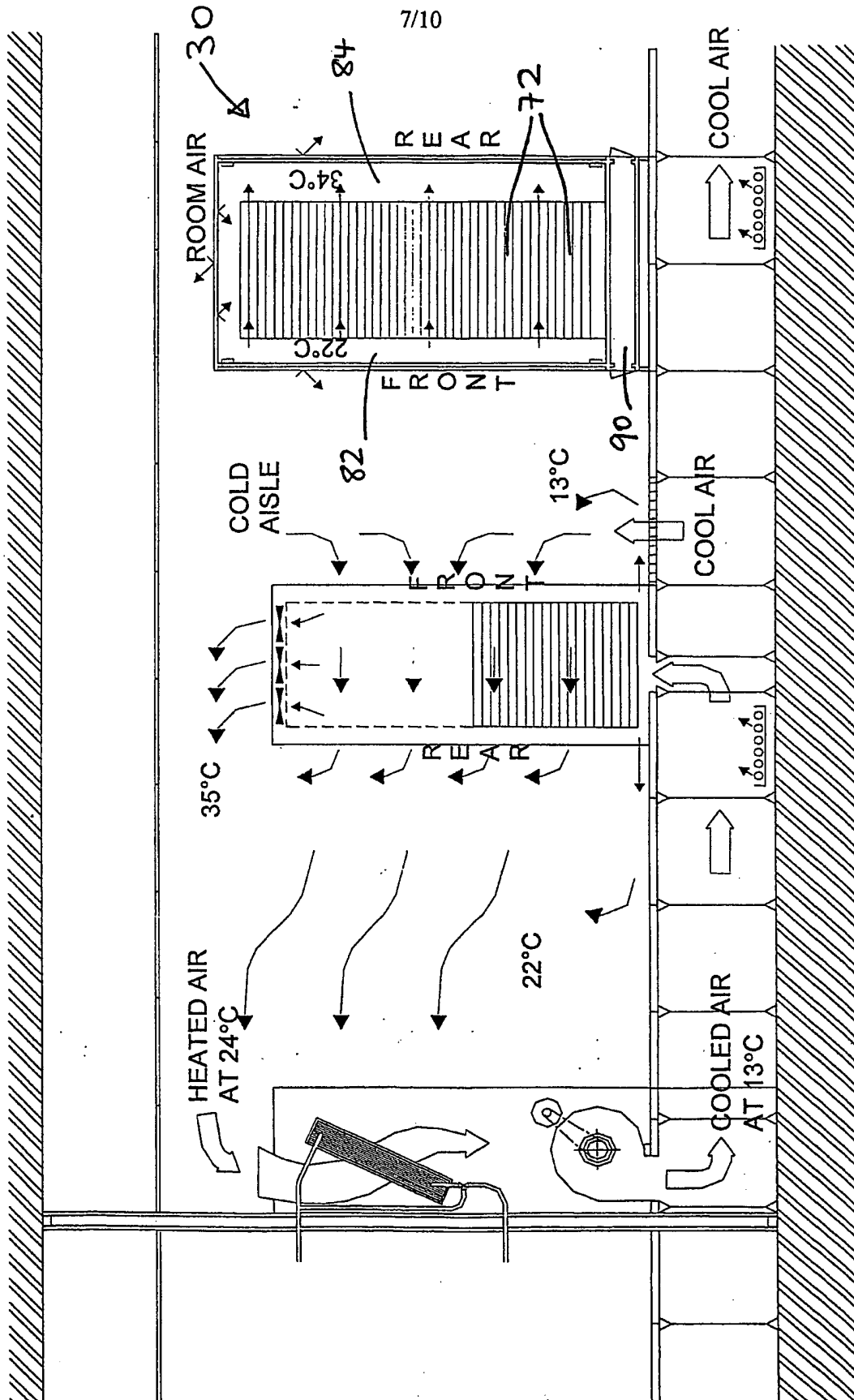


Fig. 5(d)

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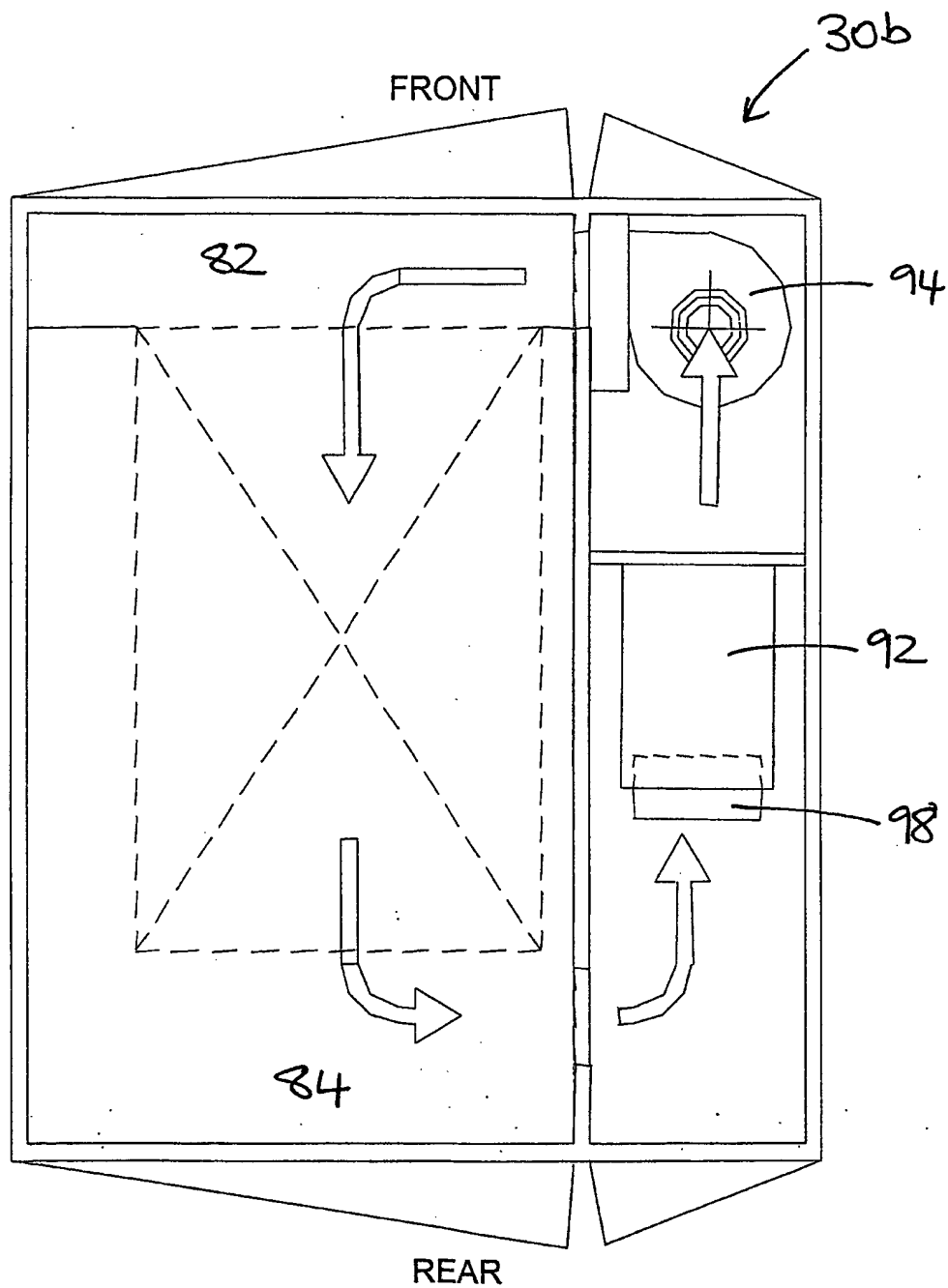


Fig. 6(a)

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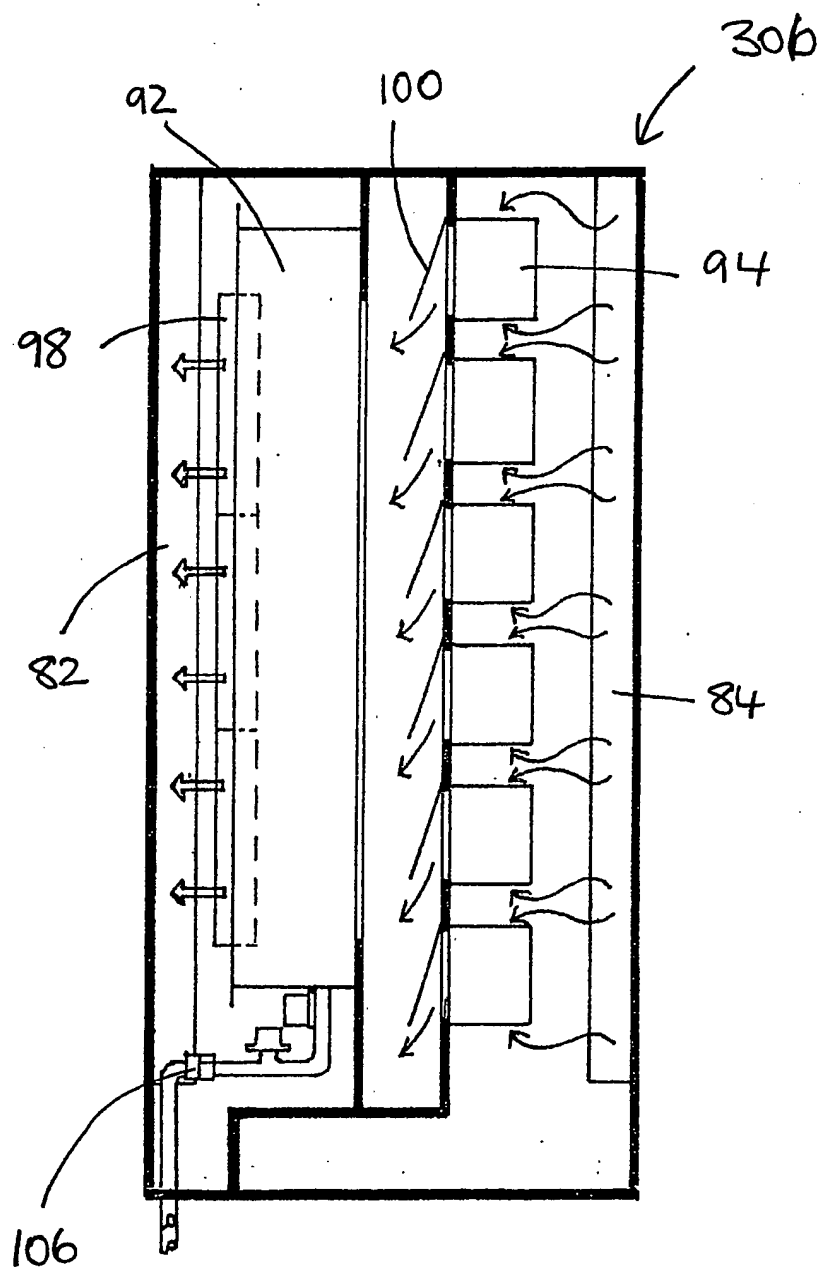


Fig. 6(b)

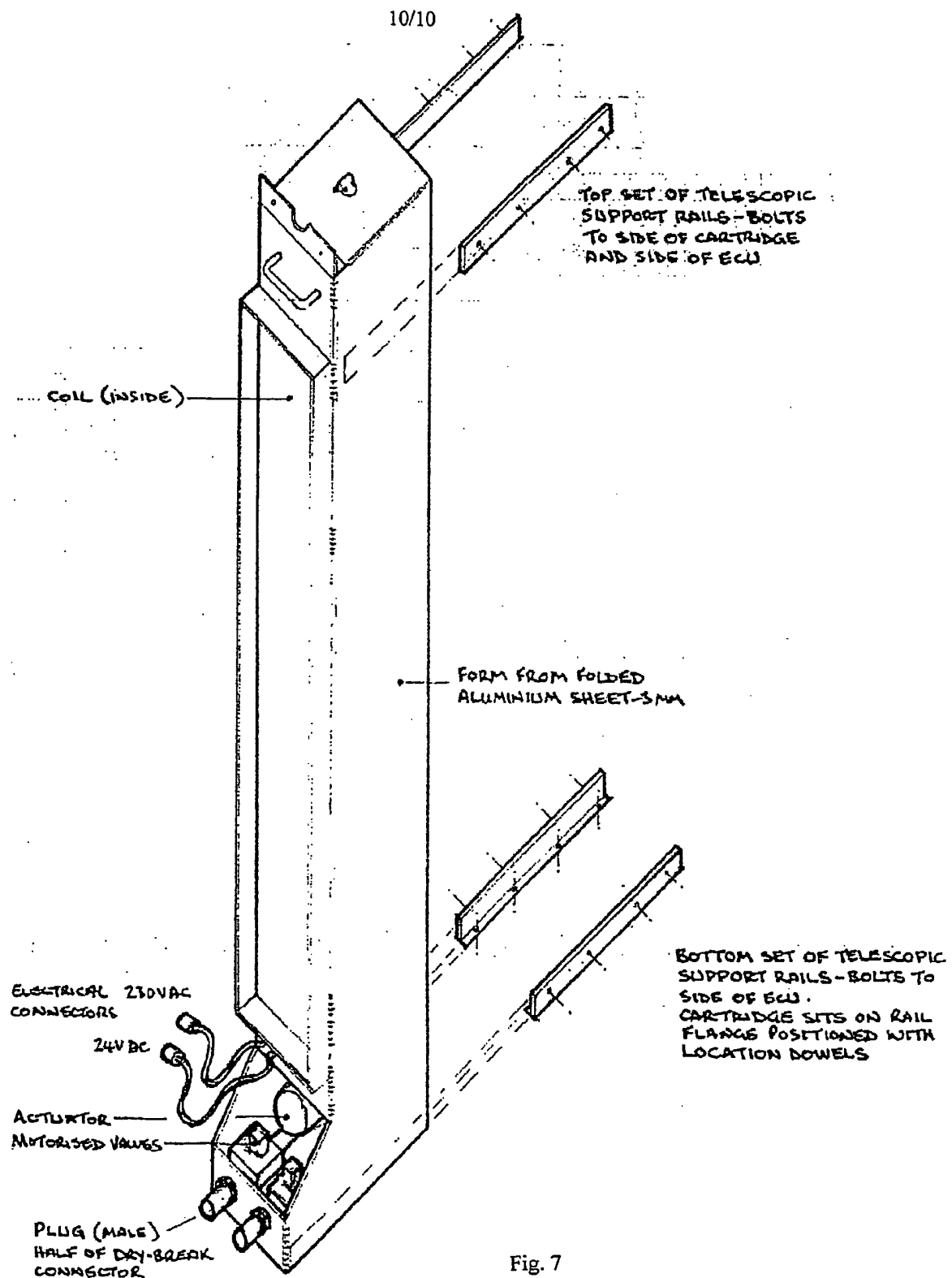


Fig. 7

INTERNATIONAL SEARCH REPORT

Internal Application No

PCT/GB 03/01367

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 G06F1/20 H05K7/20

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G06F H05K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 33 16 978 A (SIEMENS AG) 15 November 1984 (1984-11-15)	1-4, 7, 28-41
Y	page 6, line 26 -page 7, line 22 page 8, line 18 -page 9, line 2; figures 4, 6	8-27, 44-50
X	US 5 414 591 A (KIMURA HIDEYUKI ET AL) 9 May 1995 (1995-05-09) column 4, line 8 -column 5, line 4; figures 2, 3	1-4, 7, 28-41
Y	US 2001/029163 A1 (PELTZ DENNIS L ET AL) 11 October 2001 (2001-10-11) paragraphs '0024!', '0025!'; figure 2	8-27, 44-50
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

A document defining the general state of the art which is not considered to be of particular relevance

E earlier document but published on or after the international filing date

L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

O document referring to an oral disclosure, use, exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

G document member of the same patent family

Date of the actual completion of the international search

29 July 2003

Date of mailing of the international search report

05/08/2003

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Authorized officer

Schneider, F

INTERNATIONAL SEARCH REPORT

Internal Application No

PCT/GB 03/01367

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	DE 25 37 295 A (CSELT CENTRO STUDI LAB TELECOM) 22 April 1976 (1976-04-22) page 3, column 1, line 16 -page 4, line 10 page 6, line 21 -page 7, line 19; figure 1 -----	8-27, 44-50

INTERNATIONAL SEARCH REPORT

Int'l application No.
PCT/GB 03/01367

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☒ Claims Nos.: 51
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 51

The wording of the claim 51 presently on file renders it impossible to determine the matter for which protection is sought. The present application fails to comply with the clarity and conciseness requirements of Article 6 PCT (see also Rule 6.1(a) PCT) to such an extent that a meaningful search is impossible. Consequently, the search has been carried out for those parts of the application which do appear to be clear and concise, namely claims 1-50.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

Internat	Application No
PCT, <small>us</small>	03/01367

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